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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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First Named Inventor or Application Identifier	
ICHIRO OKUMURA	
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APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. Specification Total Pages 54

3. Drawing(s) (35 USC 113) Total Sheets 22

4. Oath or Declaration Total Pages 2

- a. Newly executed (original or copy)
- b. Unexecuted for information purposes
- c. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i. **DELETION OF INVENTOR(S)**

Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).

5. Incorporation By Reference (useable if Box 4c is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4c, is considered as
being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents
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6. Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Copy
- b. Paper Copy (identical to computer copy)
- c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s))

9. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of Attorney

10. English Translation Document (if applicable)

11. Information Disclosure
Statement (IDS)/PTO-1449 Copies of IDS
Citations

12. Preliminary Amendment

13. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. Small Entity Statement filed in prior application
Statement(s) Status still proper and desired

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Other: _____

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No. ____ / ____

18. CORRESPONDENCE ADDRESS

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
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	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$260.00 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 690.00
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19. Small entity status

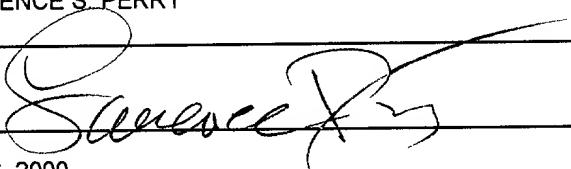
- a. A Small entity statement is enclosed
- b. A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. Is no longer claimed.

20. A check in the amount of \$ 1662.00 to cover the filing fee is enclosed.21. A check in the amount of \$ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a. Fees required under 37 CFR 1.16.
- b. Fees required under 37 CFR 1.17.
- c. Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	LAWRENCE S. PERRY
SIGNATURE	
DATE	June 6, 2000

OPTICAL ENCODER

BACKGROUND OF THE INVENTION

Field of the Invention

5 The present invention relates to an optical encoder for detecting movement information with high accuracy.

Related Background Art

10 The conventionally known methods for detecting the position or speed of a moving object are roughly classified in methods with a magnetic encoder and methods with an optical encoder. Optical encoders are usually comprised of a light-projecting section, a light-receiving section, and a scale and the scale is
15 normally made of a thin SUS material by precise press blanking or by etching.

In recent years, however, suggestions have been made on the optical encoders using the scale of a transparent material provided with grooves of V-shaped cross section, for example, as described in Japanese Patent Application Laid-Open No. 11-23324 etc., and they are used in printers, copying machines, and so on.

20 Fig. 1 is a perspective view of an optical system in a self-emitting optical encoder of a conventional example and Fig. 2 is a cross-sectional view thereof. The optical encoder is provided with a light-irradiating device 3 comprised of a light source

1 such as an LED or a semiconductor laser for emitting
coherent light, for example, of the wavelength of 632.8
nm, and a lens system 2 consisting of a spherical lens
or an aspherical lens; an optical scale 4 with a
5 grating having the phase difference detecting function
and amplitude diffraction grating function; a concave
mirror 5 having a curved surface matching with the
Fourier transform surface of the grating and having the
optical axis 01 decentered by a center difference Δ
10 relative to the optical axis 0 of a central beam of
incident light; and a light-receiving device 6
consisting of light-receiving elements 6a, 6b, 6c being
three photodetectors. The output of the light-
receiving device 6 is connected to a signal processing
15 unit 7 having a pulse-counting circuit and a rotational
direction determining circuit, and the light-
irradiating device 3 and light-receiving device 6 are
held in a fixed state in a housing 8. The optical
scale 4 is attached to part of a rotating body not
20 illustrated and is under rotation in the direction of
an arrow D about the rotational axis 02 together with
the rotating body.

Fig. 3 is a plan view of the optical scale in
which the grating of the optical scale 4 is formed so
25 that two slopes I1, I2 forming a V-groove, and one flat
F appear alternately at a predetermined pitch P and are
formed continuously in radial directions, as

illustrated in Figs. 4A, 4B. The width of the V-groove is $P/2$, and each of the two slopes I1, I2 forming the V-groove has the width of $P/4$ and is inclined at an angle not less than the critical angle, for example, at 5 the angle $\theta = 45^\circ$, relative to the flat F.

The grating has a first region 4a of the shape illustrated in Fig. 4A radially inside and a second region 4b of the shape illustrated in Fig. 4B radially outside. Each of Fig. 4A and Fig. 4B includes a front 10 view and a cross-sectional view of the corresponding region. Since the scale grooves are radially continuous, the number N_1 of V-grooves in the first region 4a is equal to the number N_2 of V-grooves in the second region 4b ($N_1 = N_2$). A ratio (R_2/R_1) of the 15 distance R_2 from the rotation center O_2 of the optical scale 4 to the second region 4b, to the distance R_1 similarly to the first region 4a is equal to a ratio (P_2/P_1) of the scale pitch P_2 of the second region 4b to the scale pitch P_1 of the first region 4a (i.e., 20 $R_2/R_1 = P_2/P_1$).

The light from the light source 1 being one element of the light-emitting device 3 is condensed by the lens system 2 onto the optical scale 4. The light incident to the first region 4a of the optical scale 4 25 is diffracted by the grating and the nth-order diffracted light (0-order and ± 1 -order diffracted light) is condensed at or near the pupil position of

the concave mirror 5.

The concave mirror 5 reflects these three diffracted light beams thus condensed to form an interference pattern image based on these three 5 diffracted beams in the second region 4b on the surface of the optical scale 4. At this time, with movement of the optical scale 4 in the rotation direction D, the thus formed image moves in the direction opposite to the rotation direction D. Namely, the interference 10 pattern image is displaced relative to the grating by double the movement of the optical scale 4. This enables acquisition of rotation information in the resolution of double the grating formed in the optical scale 4.

15 Beams based on the phase relation between the interference pattern image formed near the second region 4b of the optical scale 4 and the V-grooves of the grating are geometrically refracted by the second region 4b, three beams emerging from the second region 20 4b are received by the three light-receiving elements 6a, 6b, 6c of the light-receiving device 6, respectively, and signals from this light-receiving device 6 are processed by the signal processing unit 7 to obtain the rotation information.

25 Fig. 5A shows the convergent light incident onto the grating of the first region 4a of the optical scale 4, and beams arriving at the flats F of the

grating among the light travel through the flats F toward the concave mirror 5 to be focused on the surface thereof. Since the slope angle of the slopes I1 is set over the critical angle, a beam arriving at 5 each slope I1 forming the V-groove is totally reflected toward the other slope I2 together forming a V-groove and then is totally reflected again by the slope I2.

In this manner the beams finally arriving at the slopes I1 of the grating are reflected back 10 opposite to the incident direction without entering the inside of the optical scale 4. Likewise, the beams arriving at the other slopes I2 are also totally reflected twice back opposite to the incident direction. Therefore, the beams arriving at the two 15 slopes I1, I2 are not transmitted but reflected by the optical scale 4, whereas only the beams arriving at the flats F travel through the optical scale 4, in the first region 4a.

In the first region 4a the V-grooved grating 20 has the optical action similar to the transmissive amplitude diffraction grating. Namely, the light is diffracted by the grating of the first region 4a to generate beams of 0-order, ± 1 -order, ± 2 -order, ... diffracted light by the action of the grating, and the 25 beams are condensed on the surface of the concave mirror 5. The diffracted light thus condensed is reflected by the concave mirror 5 to enter the second

region 4b of the optical scale 4, as illustrated in Fig. 5B, thereby forming an image of radial grooves on the surface of the optical scale 4. Since the first region 4a and the second region 4b are radially different regions (which may overlap with each other in part) of the radial grating on the surface of the optical scale 4, the grating pitches of the first region 4a and the second region 4b are different from each other, and the inside and outside pitches of the optical scale 4 are also different even in the irradiation area of the second region 4b.

In this prior art example, therefore, the grating of the first region 4a is enlargingly projected onto the second region 4b so that a reversed image thereof may be formed at the same pitch as that of the radial grating of the optical scale 4. For this purpose, the concave mirror 5 is designed to have a desired radius R of curvature and be decentered from the optical axis 0 of the incident light and the deviation Δ of the concave mirror 5 from the optical axis 0 of incidence is set so as to make the enlargement projection magnification optimum. In this way the pitches of the radial grating are matched in part for formation of the grating image of the first region 4a on the surface of the second region 4b by the concave mirror 5, thereby obtaining detection signals with good S/N ratios.

The beams incident to the flats F in the second region 4b travel straight relative to the slopes I1, I2, as illustrated in Fig. 5C, to reach the center light-receiving element 6b of the light-receiving device 6. Since the beams arriving at the two slopes I1, I2 forming the V-grooves are incident at the angle of incidence of 45° to each surface, the beams are largely refracted into directions different from each other, to reach the light-receiving elements 6a, 6c at the both ends of the light-receiving device 6.

In the second region 4b the beams thus travel in the three separate directions because of the totally three types of surfaces along the different slope directions, the two slopes I1, I2 inclined in the different directions to the incident light, and the flat F between V-grooves, and then they reach the respective light-receiving elements 6a, 6b, 6c provided at their respective positions corresponding to the surfaces. Namely, the beams based on the phase relation between the grating of the second region 4b and the interference pattern image formed on the surface thereof are deflected into the three directions to be focused on the respective light-receiving elements 6a, 6b, 6c, and thus the grating of V-grooves functions as a lightwave wavefront splitting element in the second region 4b.

With rotation of the optical scale 4, there

will occur variation in amounts of light detected by the respective light-receiving elements 6a, 6b, 6c. A light-amount balance among the beams incident to the respective light-receiving elements 6a, 6b, 6c varies
5 according to relative displacement between the position of the grating and the position of the interference pattern image. As a result, in the case of counterclockwise rotation of the optical scale 4, the light-amount variation as illustrated in Fig. 6 appears
10 with rotation of the optical scale 4. In this figure, the horizontal axis represents rotation amounts of the optical scale 4, the vertical axis represents amounts of received light, and signals a, b, c correspond to outputs of the respective light-receiving elements 6a,
15 6b, 6c. In the case of clockwise rotation of the optical scale 4 on the other hand, the signal a indicates the output of the light-receiving element 6b, the signal b the output of the light-receiving element 6a, and the signal c the output of the light-receiving
20 element 6c. Pulse signals are generated based on these signals and are processed to yield the rotation information such as the angle or amount of rotation of the optical scale 4, or rotating speed, rotating acceleration, etc. thereof. Fig. 6 shows the
25 theoretical light-amount variation obtained when the contrast of the interference pattern image formed on the second region 4b is very high and almost ideal.

Fig. 7 is a perspective view of a second conventional example, in which the optical encoder is provided with a light source 11 such as the LED or the semiconductor laser, a lens system 12 consisting of a spherical lens or an aspherical lens for converting divergent light from the light source 11 into parallel light, a scale 13 having the amplitude grating function and being driven to rotate, a fixed scale 14 consisting of two grating portions 14a, 14b having the same pitch as that of the optical scale 13, and a light-receiving device 15 having two light-receiving elements 15a, 15b set with a phase shift of a quarter pitch.

The substrate of the optical scale 13 is made of a transparent optical material and a grating portion 13a, in which a plurality of opaque portions are radially formed in fixed periods, is provided on the surface of the transparent substrate. It is also possible to employ such structure that the substrate of an opaque material is provided with the grating portion having a plurality of radially long holes in fixed periods, on the other hand. The optical scale 13 is attached to part of the rotating body not illustrated and is rotated in the direction of arrow D about the rotation axis 03 together with the rotating body.

The light emitted from the light source 11 is converted into parallel light by the lens system 12 to travel through the optical scale 13 and the fixed scale

14. The light passing through the grating portion 14a of the fixed scale 14 is received by the light-receiving element 15a of the light-receiving device 15, while the light passing through the grating portion 14b 5 by the light-receiving element 15b.

Since the optical scale 13 is rotating about the rotation axis 03, the light-receiving device 15 receives a maximum amount of light from the light source 11 when the phase of the grating portion 13a of 10 the optical scale 13 becomes coincident with the phase of the grating portion 14a or 14b of the fixed scale 14. In contrast, the light-receiving device 15 receives a minimum amount of light when the phases are opposite. Therefore, amounts of light detected by the 15 light-receiving elements 15a, 15b vary with rotation of the optical scale 13. Namely, the light-amount balance between the beams incident to the respective light-receiving elements 15a, 15b varies according to the relative change between the position of the grating portion 13a and the image position. 20

Fig. 8 is a graph to show the light amount variation with rotation of the optical scale 13, in which the horizontal axis represents rotation amounts of the optical scale 13 and the vertical axis amounts 25 of received light. This Fig. 8 shows the state of theoretical light amount variation obtained when the contrast is very high and almost ideal. With

counterclockwise rotation of the optical scale 13, the light-receiving elements 15a, 15b output the light amount variations indicated by signals a, b, respectively. With clockwise rotation of the optical scale 13 on the other hand, the light-receiving element 15a provides the output of the light amount variation of signal b, and the light-receiving element 15b the output of the light amount variation of signal a. Pulse signals are generated based on these signals and are used to detect the rotation information such as the angle or amount of rotation of the optical scale 13, or the rotating speed, the rotating acceleration, etc. thereof.

15 SUMMARY OF THE INVENTION

For accomplishing improvement in the conventional examples as described above, a first object of the present invention is to provide an optical encoder capable of always generating stable pulses without variation in the width and phase even if there occurs variation in amounts of light.

20 A second object of the present invention is to provide an optical encoder capable of detecting signals with good contrast against scales of all diameters by 25 use of a common detection head.

A third object of the present invention is to provide an optical encoder capable of detecting

displacement information with good contrast in compact structure, particularly, in terms of the axial height.

The other objects of the present invention will become apparent in the description of embodiments which follows.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of the optical system of the self-emitting optical encoder of the conventional example;

Fig. 2 is a cross-sectional view of the optical system of Fig. 1;

Fig. 3 is a plan view of the optical scale of the optical encoder;

Fig. 4A and Fig. 4B are explanatory diagrams of the V-groove grating of the optical scale;

Fig. 5A, Fig. 5B, and Fig. 5C are explanatory diagrams of split reception paths of beams;

Fig. 6 is a graph of signal outputs from the light-receiving elements;

Fig. 7 is a perspective view of the second conventional example;

Fig. 8 is a graph of signal outputs of the light-receiving elements;

Fig. 9 is a cross-sectional view of the detection head in the first embodiment;

Fig. 10 is an explanatory diagram of the groove

pattern in the first region of the optical scale;

Fig. 11 is an explanatory diagram of the groove pattern in the second region;

5 Fig. 12A and Fig. 12B are explanatory diagrams of split reception paths of beams;

Fig. 13A and Fig. 13B are graphs of output waveforms and processed signals;

Fig. 14 is a cross-sectional view of the detection head in the second embodiment;

10 Fig. 15 is a cross-sectional view of the groove pattern in the first region;

Fig. 16 is a cross-sectional view of the groove pattern in the second region;

15 Fig. 17 is a cross-sectional view of the detection head in the third embodiment;

Fig. 18 is a plan view of the optical scale;

Fig. 19A and Fig. 19B are explanatory diagrams of the groove patterns of the scale;

20 Fig. 20A and Fig. 20B are cross-sectional views of the optical scale in the fourth embodiment;

Fig. 21A and Fig. 21B are explanatory diagrams of the groove patterns of the optical scale in the fifth embodiment;

25 Fig. 22A and Fig. 22B are explanatory diagrams of split reception paths of beams;

Fig. 23A and Fig. 23B are explanatory diagrams of the groove patterns of the optical scale in the

sixth embodiment;

Fig. 24A and Fig. 24B are explanatory diagrams of split reception paths of beams;

5 Fig. 25A and Fig. 25B are graphs of output waveforms and processed signals;

Fig. 26 is a cross-sectional view of the optical encoder in the seventh embodiment;

Fig. 27 is an explanatory diagram of the groove pattern in the first region;

10 Fig. 28 is an explanatory diagram of the groove pattern in the second region;

Fig. 29 is an explanatory diagram of the groove pattern in the second region in the eighth embodiment;

15 Fig. 30A and Fig. 30B are graphs of output waveforms of the light-receiving elements and processed signals;

Fig. 31 is an explanatory diagram of the groove pattern in the first region in the ninth embodiment;

20 Fig. 32 is an explanatory diagram of the groove pattern in the first region in the tenth embodiment;

Fig. 33 is an explanatory diagram of the groove pattern in the first region in the eleventh embodiment;

Fig. 34 is an explanatory diagram of the groove pattern in the first region in the twelfth embodiment;

25 Fig. 35 is an explanatory diagram of the groove pattern in the first region in the thirteenth embodiment; and

Fig. 36 is a schematic diagram to show the structure of a driver system in the fourteenth embodiment.

5 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

For accomplishing the first object described above, the optical encoder is constructed to make the light from the light-irradiating device incident to the optical scale, amplitude-modulate the light transmitted or reflected by the grating of the optical scale, by a dividing element in which a plurality of V-shaped grooves consisting of planes having different angles are arranged repeatedly at a predetermined pitch and in parallel, split the amplitude-modulated light into beams along a plurality of different directions, and detect the beams by respective, separate light-receiving elements.

The optical encoder will be described below in detail, based on the embodiments illustrated.

20 Fig. 9 is a cross-sectional view of the detection head in the first embodiment, Fig. 10 shows the first region of the optical scale, and Fig. 11 the second region thereof. The detection head is composed, for example, of the light source 21 such as the LED or 25 the semiconductor laser, the spherical or aspherical lens 22, the optical scale 23 made of a transparent material and rotating about the rotation axis, the

concave mirror 24, and the light-receiving device 25, as in the first conventional example of Fig. 1. One surface of the lens 22 includes the surface shapes, different from each other, on the light projection side 5 and on the light reception side.

In the first region 23a of the optical scale 23, as illustrated in Fig. 10, repetitions of slopes and flats are formed at a predetermined pitch, as in the conventional example of Fig. 4A, but in the second 10 region 23b of the present embodiment, as illustrated in Fig. 11, W-shaped grooves are formed of repetitions of alternate slopes having two angles θ_1 , θ_2 . A front view and a cross-sectional view of each region are illustrated in each of Fig. 10 and Fig. 11.

As constructed in this structure, the light 15 emitted from the light source 21 is guided through the light projection side of the lens 22 into the first region 23a of the optical scale 23 and the beams passing through the flats of the first region 23a 20 travel in the form of parallel light to be reflected by the concave mirror 24. The reflected light travels again toward the optical scale 23 to be refracted into four directions by the second region 23b of the optical scale 23, as illustrated in Fig. 12A. The beams then 25 travel through the light reception side of the lens 22 to be distributed to four light-receiving elements 25a, 25a', 25b, 25b' of the light-receiving device 25 to

form their respective images thereon, as illustrated in Fig. 12B.

Fig. 13A is a diagram to show output waveforms of the respective light-receiving elements 25a, 25a', 5 25b, 25b', in which the horizontal axis represents relative displacement x between the optical scale 23 and the light-receiving device 25 and the vertical axis outputs A, A', B, B' of the respective light-receiving elements 25a, 25a', 25b, 25b'. There is the phase 10 difference of 180° between the outputs A and A' and between the outputs B and B'. Therefore, the difference between the outputs A and A' and the difference between the outputs B and B' are waveforms oscillating around the center of 0 volt as illustrated 15 in Fig. 13B. From the waveforms oscillating around the center of 0 volt as described, pulses can be generated using the 0 volt as a comparison reference threshold, whereby stable pulses can be always generated without variation in the width and the phase even if there 20 occurs variation in amounts of light.

The groove shape of the second region 23b does not have to be limited to the W-shape, but may also be any shape that can split the light into plural directions. For example, where there is no need for 25 discrimination of direction of rotation, a pulse signal of a single phase can be obtained by dividing the light into two beams in the phase relation of 180° and using

a difference between them as a basic analog signal.

Three-phase pulse signals can also be obtained in the phase relation of 120° by dividing the light into six beams in the phase relation of 60° , detecting them by six separate light-receiving elements, and using differences between two output signals in the phase relation of 180° , as basic analog signals.

It is also possible to use the fixed scale 14 of the conventional example of Fig. 7. In this case, when the encoder is provided with the dividing element of the cross-sectional shape in the phase relation of 90° as illustrated in Fig. 10, the beams separated into four directions by the fixed scale are received by the four juxtaposed light-receiving elements 25a, 25a', 25b, 25b', thereby presenting the like effect.

Fig. 14 is a cross-sectional view of the second embodiment, which is the optical encoder having the optical scale of the rotary type, but which can also be applied to a linear encoder moving rectilinearly. The optical encoder is comprised of the light-emitting element 21 such as the LED or LD, the lens 22 for focusing the beam from the light-emitting element, the optical scale 23 made of a transparent material such as polycarbonate or the like, the concave mirror 24 for reflecting incoming light from the optical scale 23 again toward the optical scale 23, and the light-receiving device 25 consisting of four light-receiving

elements 25a, 25a', 25b, 25b' for receiving the reflected light from the optical scale 23 through the lens 22.

The disklike optical scale 23 is provided with
5 the first region 23a and the second region 23b in which the optical grating is formed in a radial pattern. The number, the pitch, etc. of the grating grooves of the first region 23a do not always have to be matched with those of the second region 23b.

10 Fig. 15 is a cross-sectional view of the first region 23a, and grooves of the V-shaped cross section are formed periodically at a predetermined pitch in the first region 23a. The angles of the slopes I1, I2 of these V-grooves are angles not less than the critical
15 angle, for example 45° , in order to totally reflect the incident light, so that the light incident to the flats F is transmitted while the light incident to the slopes I1, I2 is reflected. Namely, in the first region 23a the grating portion of the V-grooves has the optical
20 action similar to the reflective amplitude diffraction grating.

Fig. 16 shows the cross-sectional shape of the grating of the second region 23b, and in the second region 23b the W-shaped grooves are formed of
25 repetitions of alternate V-grooves of the angle θ_1 between the slopes I3 and I4 and of the angle θ_2 between slopes I5 and I6, which is slightly different

from the angle θ_1 .

In the case of the present embodiment the shape of the grooves does not have to be limited to the W-shape, as in the first embodiment, but may be any shape 5 that can divide the incident light into four or more directions. The reflecting surfaces do not have to be limited to those making use of the total reflection, but may also be surfaces provided with a reflecting film.

10 The light emitted from the light-emitting element 21 is converted into converging light by the lens 22 to be guided onto the first region 23a of the optical scale 23. The grating of this first region 23a diffracts the reflected light to generate beams of the 15 0-order, ± 1 -order, ± 2 -order, ... diffracted light by the action of the grating, which are condensed on the surface of the concave mirror 24. The diffracted light thus condensed is reflected by the concave mirror 24 to be focused again on the second region 23b of the 20 optical scale 23 to form an image of radial grooves on the surface of the optical scale 23.

In the second region 23b the beams incident to the left slopes I3 and the beams incident to the right slopes I4 are separated into right and left beams, 25 while the beams incident to the slopes I5 and to the slopes I6 are separated into further outside beams. Namely, the light reflected from the concave mirror 24

is reflected into four directions by the grooves of the second region 23b, and the beams thus separated into the four directions travel through the lens 22 to reach the light-receiving device 25. The beams are
5 distributed to the four light-receiving elements 25a, 25a', 25b, 25b' to be received thereby.

The optical encoders described above are able always to generate stable pulses without variation in the width and the phase even under the varying
10 condition in amounts of light, because they are constructed so that the beams amplitude-modulated by the dividing element in which the plurality of V-shaped grooves composed of the repetitions of planes of different angles are arranged at the predetermined
15 pitch and in parallel, are divided into a plurality of different directions and are detected by the separate light-receiving elements, respectively.

For accomplishing the second object described previously, the optical encoder is constructed as an
20 optical encoder for detecting the position and speed of a moving object by guiding the light from the light-irradiating device to the first region of the optical scale, reflecting the light incident to the first region by a mirror or an optical element back to the second region of the optical scale, and receiving the light via the grating portion of the optical scale by
25 the light-receiving device, wherein scale slits of the

first region and the second region are separate from each other (i.e., they are not of the structure continuous from each other). In the stated structure there is some contrivance implemented as described 5 below.

The optical encoder will be described below in detail, based on the embodiments illustrated.

Fig. 17 is a cross-sectional view of the detection head in the third embodiment, and the 10 detection head is composed of the light projecting device 11 such as the LED or the semiconductor laser light source, the spherical or aspherical lens 12, the optical scale 13 having slits formed in the surface and rotating about the rotation axis, the concave lens 14 15 placed on the optical axis of incidence, and the light-receiving device 15 consisting of a plurality of light-receiving elements, as in the case of the conventional example. One surface of the lens 12 has different shapes between on the light projection side and on the 20 light reception side.

Fig. 18 is a plan view of the scale 13, and there are the first region 13a as illustrated in Fig. 19A and the second region 13b as illustrated in Fig. 19B with mutually different numbers of slits of the V-groove-shaped cross section on the optical scale 13. Since this optical scale 13 is constructed on the 25 assumption of the enlargement projection magnification

of 1 so that the scale pitch P_1 of the first region 13a is approximately equal to the scale pitch P_2 of the second region 13b, the ratio N_2/N_1 of the numbers of slits of the optical scale 13 is equal to the ratio 5 R_2/R_1 of the radial center distances of the respective regions from the rotation center. R_1 corresponds to the first region, while R_2 to the second region.

As in the conventional example, the light from the light projecting device 11 travels through the 10 light projection side of the lens 12 to reach the first region 13a of the optical scale 13 to be diffracted by the slits. The diffracted light travels toward the concave mirror 14 to be reflected by the concave mirror 14. The reflected light then travels through the 15 second region 13b of the optical scale 13 and through the light reception side of the lens 12 to be received by the light-receiving device 15.

In a variety of optical scales such as the optical scales of small diameters and the optical 20 scales of large diameters, or linear optical scales or the like, where the slits of the first region 13a and the second region 13b are separated from each other there becomes no need for the process in which the concave mirror 4 is set in the desired radius R of 25 curvature and decentered from the optical axis 0 of incident light and in which the diameter of the optical scale 13 is set so as to make the enlargement

projection magnification optimum by the concave mirror 14, with the deviation Δ from the optical axis 0 of incidence. As a consequence, the common detection head can be used in combination to the optical scales 13 of 5 all diameters, which simplifies the optical system. The scale pitches were set as $P_1 = P_2$ and the 10 enlargement projection magnification of the detection head was 1 in the above embodiment. However, the magnification does not have to be limited to 1, but the common detection head can be used in combination with 15 any scale by matching all the scale pitches with the enlargement projection magnification of the detection head.

Figs. 20A and 20B are cross-sectional views of 15 scales in the fourth embodiment, in which the heights are different between the surfaces of the first region 13a and the second region 13b of the optical scale 13. In Fig. 20A the first region 13a is thicker than the second region 13b, whereas in Fig. 20B the second 20 region 13b is thicker than the first region 13a. When this level difference is set to an appropriate value, depending upon the radius of the optical scale 13, the enlargement projection magnification can be matched with the ratio P_2/P_1 of the scale pitches under common 25 use of the detection head.

Figs. 21A, 21B are explanatory diagrams of the optical scale in the fifth embodiment, in which the

angles of the V-grooves are different between in the first region 13a illustrated in Fig. 21A and in the second region 13b illustrated in Fig. 21B. The angles of the V-grooves in the first region 13a need to be
5 angles not less than the critical angle because of the necessity for the total reflection, and are thus desirably about 45° , for example. On the other hand, since the V-grooves of the second region 13b need to have only the function of dividing the light toward the
10 light-receiving elements 15 by making use of refraction at the slopes, the angles thereof do not have to be the critical angle equal to those in the first region 13a, but may be angles suitable for the lens power of the light reception side and the arrangement of the light-receiving elements 15.
15

In the case of the conventional example, since the angles of the V-grooves of the second region 13b and the first region 13a are equal, angles of refraction are large as illustrated in Figs. 5A to 5C, and the lens size on the light reception side and the spacing between the light-receiving elements 6 are also large, thereby increasing the dimensions of the detection head as a result. On the other hand, for example, when the angles of the V-grooves of the second
20 region 13b are smaller than those of the first region 13a as in the present embodiment, the angles of refraction in the second region 13b become smaller as
25

illustrated in Fig. 22A, whereby the size of the lens 12 and the spacing between the light-receiving elements 15 can be made smaller as illustrated in Fig. 22B, thereby making the detection head compact.

5 Figs. 23A and 23B are cross-sectional views of the optical scale in the sixth embodiment, in which the V-shaped grooves of the first region 13a are comprised of flats and V-groove portions similar to those in the conventional example, as illustrated in Fig. 23A, and
10 in which the grooves of the second region 13b are not the simple V-shaped grooves, but the W-shaped grooves in which surfaces of two types of angles θ_1 , θ_2 are arranged alternately, as illustrated in Fig. 23B. The grooves of the second region 13b do not have to be
15 limited to the W-shape, but may be any shape that can divide the incident light into four or more directions.

As illustrated in Fig. 24A, the light reflected from the concave mirror 14 is refracted into four directions by the W-grooves of the second region 13b, and the beams separated in the four directions are distributed to the respective light-receiving elements 15a, 15a', 15b, 15b' to be received thereby, as
20 25 illustrated in Fig. 24B.

Fig. 25A shows the output waveforms A, A', B, B' of the respective light-receiving elements 15a, 15a', 15b, 15b' in use of the optical scale 13 and the light-receiving elements 15 as described above. The

horizontal axis represents the relative displacement x between the optical scale 13 and the detection head and the vertical axis the outputs of the respective light-receiving elements 15a, 15a', 15b, 15b'. Since there 5 is the phase difference of 180° between the outputs A and A' and between the outputs B and B', the difference between the outputs A and A' and the difference between the outputs B and B' are waveforms oscillating around the center of 0 volt, as illustrated in Fig. 25B. When 10 pulses are produced using the 0 volt as a comparison reference value from such waveforms, stable pulses can be always generated without variation in the width and the phase even if there occurs variation in amounts of light.

15 It is noted here than the third to sixth embodiments described above do not have to be carried out separately but they may also be carried out in combination of their respective elements. For example, when the number of V-grooves in the third embodiment is 20 employed in combination with the angles of the V-grooves in the fifth embodiment, the effects of the both embodiments can be accomplished simultaneously.

The optical encoders described above are arranged so that the scale slits formed in the first 25 region of the optical scale are separated from the scale slits of the second region with each contrivance as described above, whereby they can obtain signals

with good contrast against the optical scales of all
diameters by use of the common detection head and
permit reduction of the lens size on the light
reception side and the spacing between the light-
5 receiving elements, so as to make the whole system
compact and be always able to generate stable pulses
without variation in the width and the phase even if
there occurs variation in amounts of light.

For accomplishing the third object as described
10 previously, the optical encoder is constructed to guide
the light from the light-irradiating device into the
first region on the relatively movable optical scale
having the periodic grating portion on the substrate,
reflect and condense the light reflected and diffracted
15 by the grating portion of the first region to guide it
to the second region of the optical scale, and receive
the light through the grating portion of the second
region by the light-receiving device, thereby detecting
displacement information of the optical scale.

20 The optical encoder will be described below in
detail, based on the embodiments illustrated.

Fig. 26 is a cross-sectional view of the
optical encoder of the seventh embodiment, which is an
optical encoder having the optical scale of the rotary
25 type, but which can also be applied to a linear encoder
moving rectilinearly. The optical encoder is composed
of the light-emitting element 111 such as the LED or

the LD, the lens 112 one surface of which has the separate shape consisting of the part on the light projection side and the part on the light reception side, the optical scale 113 made of a transparent
5 material such as polycarbonate or the like and rotating about the rotation axis, the concave mirror 114, and the light-receiving element section 115 consisting of three photodetectors 115a, 115b, 115c. The disklike optical scale 113 is provided with the first region
10 113a and the second region 113b in which the optical grating is formed in a radial pattern. It is noted here that the number, the pitch, etc. of the grating grooves of the first region 113a do not always have to be matched with those of the second region 113b.

15 Fig. 27 is a cross-sectional view of the first region 113a from the radial direction, and in the first region 113a the grooves of the V-shaped cross section are formed periodically at the pitch P . The angles of slopes of the V-grooves are angles not less than the
20 critical angle, for example 45° , so as to totally reflect the incident light. The light incident to the flats F is transmitted, while the light incident to the slopes I is reflected. Namely, in the first region 113a the grating portion of the V-grooves has the optical action similar to the reflective amplitude
25 diffraction grating.

Fig. 28 is a cross-sectional view of the second

region 113b from the radial direction, and in the second region 113b the grooves of the V-shaped cross section are formed periodically in the radial directions. The angles of all the slopes I1, I2, I3, I4 of these V-grooves are not less than the critical angle for the total reflection. However, the angle between the slopes I1 and I2 is approximately 90°, and the angle between the slopes I3 and I4 is set to an angle slightly larger than 90°.

The light emitted from the light-emitting element 111 is converted into convergent light by the light projection side of the lens 112 to irradiate the first region 113a of the optical scale 113. The grating of the first region 113a diffracts the reflected light to generate beams of the 0-order, ± 1 -order, ± 2 -order, ... diffracted light by the action of the grating, and the beams are condensed onto the surface of the concave mirror 114.

The diffracted light thus converged is reflected by the concave mirror 114 to be focused again on the second region 113b of the optical scale 113, whereby an image of the radial grooves is formed on the surface of the optical scale 113. At this time, because of the structure of the slopes I1 to I4 of the second region 113b, the beams incident to the slopes I1, I2 are reflected twice and thereafter travel back opposite to the incident direction, as illustrated in

Fig. 28, when viewed from the radial direction.

However, the beams are reflected toward the outside, as illustrated in Fig. 26, when observed from the tangential direction. On the other hand, a beam
5 incident to the slope I3 is reflected twice and thereafter is reflected slightly to the left when viewed from the radial direction. A beam incident to the slope I4 is reflected twice and thereafter is reflected slightly to the right when observed from the
10 radial direction.

As described above, the beams separated into the three directions travel through the light reception side of the lens 112 to reach the light-receiving element section 115, whereupon the three photodetectors
15 115a to 115c of the light-receiving element section 115 detect amounts of the respective beams. Namely, the beams based on the phase relation between the grating of the second region 113b and the interference pattern image formed on the surface thereof are reflected and
20 deflected into the three directions to enter the respective photodetectors 115a to 115c.

With rotation of the optical scale 113, there occurs variation in amounts of the light detected by the respective photodetectors 115a to 115c. The light-
25 amount balance among the beams incident to the respective photodetectors 115a to 115c varies according to the relative displacement between the grating

position of the second region 113b and the position of the interference pattern image. As a result, if the optical scale 113 rotates counterclockwise, there will appear variation in amounts of light due to the 5 rotation of the optical scale 113 as illustrated in Fig. 6 in the case of the conventional example.

Fig. 29 shows the cross-sectional shape of the grating of the second region 113b in the eighth embodiment, in which the angle θ_1 between the slopes I1 10 and I2 of the V-grooves deviates from 90° . The angle θ_2 between the slopes I3 and I4 is an angle a little greater than 90° , as in the seventh embodiment. The light-receiving element section 115 is composed of four photodetectors 115a, 115a', 115b, 115b'. The grooves 15 of the second region 113b do not always have to be limited to the W-shape, but may be of any shape that can split the light into four or more directions. Although the angle θ_2 between the slopes I1, I2 of the V-grooves is slightly greater than 90° in the present 20 embodiment, the like function can also be implemented by making the angle smaller than 90° .

As constructed in the above structure, the light incident to the left slopes I1 and the light 25 incident to the right slopes I2 is separated into right and left beams and the light incident to the slopes I3, I4 is divided into further outside beams. Namely, the light reflected from the concave mirror 114 is

reflected into the four directions by the grooves of the second region 113b. The beams thus separated in the four directions travel through the lens 112 to reach the light-receiving element section 115 to be 5 distributed to and received by the respective photodetectors 115a, 115a', 115b, 115b' of the light-receiving element section 115.

Fig. 30A is a diagram to show the output waveforms from the respective photodetectors 115a, 10 115a', 115b, 115b', in which the horizontal axis represents the relative displacement x between the scale and the detection head and the vertical axis the outputs A, A', B, B' of the respective photodetectors 115a, 115a', 115b, 115b'. There is the phase 15 difference of 180° between the outputs A and A' and between the outputs B and B'. Therefore, the difference between the outputs A and A' and the difference between the outputs B and B' yield the waveforms oscillating around the center of 0 volt as 20 illustrated in Fig. 30B. From the waveforms oscillating around the center of 0 volt as described above, pulses are produced using the 0 volt as a comparison reference value, whereby the stable pulses can be always generated without variation in the width 25 and the phase even if there occurs variation in amounts of light.

Fig. 31 shows the cross-sectional shape of the

grating of the first region 113a of the optical scale 113 in the ninth embodiment. The seventh embodiment employed the beams totally reflected twice by the V-grooves, whereas the present embodiment employs the 5 grating in which radial patterns of reflecting film C are formed at the pitch P, thereby presenting the same function as in the seventh embodiment.

Fig. 32 shows the cross-sectional shape of the 10 grating of the first region 113a of the optical scale 113 in the tenth embodiment. In this case, grooves having the level difference equal to a quarter wavelength are formed as alternate radial patterns at the pitch P and the reflecting film C is formed thereon. The beams are reflected and diffracted by the 15 grating of this first region 113a. In the normal diffraction there appear beams of the 0-order, ± 1 -order, ± 2 -order, ... diffracted light. However, since there are beams with the phase difference of a half wavelength mixed in the 0-order, the 0-order diffracted 20 light will vanish. As a consequence, beams of the strongest intensity are the beams of ± 1 -order diffracted light.

This ± 1 -order diffracted light is condensed onto the surface of the concave mirror 114 and the 25 diffracted light thus condensed is reflected by the concave mirror 114 to be focused again on the grating of the second region 113b of the optical scale 113 to

form an image of the radial grooves on the surface of the optical scale 113. The beams arriving at the second region 113b are processed thereafter in the process similar to that in the seventh embodiment to 5 detect a displacement amount.

In the case of the present embodiment, since the light traveling through the first region 113a is almost 0, strong signals can be obtained with good S/N ratios; further, the depth of focus is deep, because 10 the diffraction image formed on the grating of the second region 113b is mainly comprised of the two beams of ± 1 -order diffracted light. This relaxes the accuracy necessary for the relative positional relation between the optical scale 113 and the light-receiving 15 element section 115 to facilitate the assembly accordingly.

Fig. 33 shows the cross-sectional shape of the grating of the first region 113a of the optical scale 113 in the eleventh embodiment, which is substantially 20 the same shape as the grating shape of the seventh embodiment, but the widths F_1 , F_2 of the flats F are different by a half wavelength from each other. Therefore, the pitches P_1 , P_2 of the bottoms of the V-grooves are different by a half wavelength from each 25 other. Because of this arrangement, the beams reflected from the both slope surfaces of the V-grooves have the phase shift of a half wavelength from each

other. Therefore, the 0-order diffracted light vanishes, as in the tenth embodiment, so that the diffraction image formed on the grating of the second region 113b is mainly comprised of the two beams of ± 1 -
5 order diffracted light, so as to make the depth of focus deeper.

Fig. 34 shows the cross-sectional shape of the grating of the first region 113a of the optical scale 113 in the twelfth embodiment, which is obtained by
10 excluding the flats F1, F2 of the first region 113a from the shape of Fig. 33. For implementing the phase shift of a half wavelength between the beams reflected from the both slopes of the V-grooves, the difference of a half wavelength is given every other pitch P1, P2
15 of the bottoms of the V-grooves. With the transmission loss of beam being almost 0, the diffracted image with the deep depth of focus is formed on the second region 113b. In the case of the present embodiment there is no need for provision of the reflecting film C as
20 described in the tenth embodiment.

Fig. 35 shows the cross-sectional shape of the grating of the first region 113a of the optical scale 113 in the thirteenth embodiment, which is the shape obtained by excluding one out of every two flats F1, F2
25 of the first region 113a of Fig. 33. Just as in Fig. 34, there is the difference of a half wavelength at every other pitch P1, P2 of the bottoms of the V-

grooves, so as to implement the phase shift of a half wavelength between the beams reflected from the both slopes of the V-grooves. With the transmission loss of beam being almost 0, the diffracted image with the deep 5 depth of focus is formed on the second region 113b. In the case of the present embodiment there is also no need for the provision of the reflecting film C described in the tenth embodiment.

The optical encoders described above are 10 constructed so that all the components are placed on one side of the optical scale, and thus the axial height is small. Since the optical encoders obviate the need for a fixing arm for fixing the parts on the opposite side of the optical scale on the theoretical 15 basis, there is no portion projecting to the outside from the outside periphery of the optical scale and this decreases the total size and the number of components. The displacement information can be detected with good contrast by the compact structure.

20 Fig. 36 is a schematic, structural diagram of a driver system according to the fourteenth embodiment of the present invention. In the figure, DH represents a detection head in which the entire optical structure is placed except for the optical scale of the optical 25 encoder described in either of the above embodiments, PU a signal processing circuit for performing signal processing of the outputs from the respective light-

receiving elements in the detection head to measure an incremental rotation amount and a rotation direction if necessary to generate a control signal, IM an input section for entry of a rotation command to the signal processing circuit PU, MD a motor driver for carrying out driving control of the motor in response to the control signal from the signal processing circuit PU, Mt a motor, and SF a shaft driven (to rotate herein) by the motor to transmit the driving force to a driven portion not illustrated. The present embodiment can be used, for example, as a driver system for driving a drum of a printer or a copier.

The signal processing circuit PU generates the control signal, based on the outputs from the respective light-receiving elements and the command input information from the input section, thereby controlling the rotational driving of the shaft SF by the motor MT.

The system can also be modified to use a linear motor in place of the above motor and detect a translational driving amount by the optical encoder.

WHAT IS CLAIMED IS:

1. An optical encoder comprising:
 - light irradiating system;
 - an optical scale having a grating for transmitting or reflecting incident light;
 - light-receiving elements disposed in a plurality of different directions; and
 - an optical system constructed so as to amplitude-modulate light traveling from said light irradiating system to said optical scale and transmitted or reflected by the grating, by a dividing element in which a plurality of V-shaped grooves are juxtaposed, and so as to divide the amplitude-modulated light into beams along a plurality of different directions to guide the beams to the respective light-receiving elements;
- wherein said dividing element is comprised of repetitions of such structure that a plurality of V-grooves consisting of planes of mutually different angles are juxtaposed at a predetermined pitch.

2. The optical encoder according to Claim 1, wherein said dividing element forms at least one set of beams having a phase relation of 180° .

25

3. The optical encoder according to Claim 2, wherein said dividing element comprises repetitions of

four types of different planes.

4. The optical encoder according to Claim 1,
wherein said dividing element and said optical scale
5 are comprised of a common member.

5. The optical encoder according to Claim 4,
wherein a portion functioning as said dividing element
of said common member is provided in an outside region
10 or in an inside region of said grating.

6. An optical encoder comprising:
light irradiating system;
an optical scale comprising scale slits of a
15 periodic structure;
a light-receiving element; and
an optical system constructed so as to make
light traveling from said light irradiating system to
the scale slits of a first region of said optical
scale, incident to the scale slits of a second region
20 of said optical scale by a mirror or another optical
element to guide the light having passed via the scale
slits of the second region to said light-receiving
element;
25 wherein in said optical scale the number of the
scale slits of said first region is different from the
number of the scale slits of said second region.

7. The optical encoder according to Claim 6,
wherein said optical scale is constructed so as to be
rotatable relative to the other members, wherein said
first region is disposed in an inside diameter side
5 while said second region in an outside diameter side,
and wherein the number of the scale slits of said first
region is smaller than the number of the scale slits of
said second region.

10 8. The optical encoder according to Claim 6,
wherein said optical scale is constructed so as to be
rotatable relative to the other members, wherein said
first region is disposed in an outside diameter side
while said second region in an inside diameter side,
15 and wherein the number of the scale slits of said first
region is larger than the number of the scale slits of
said second region.

9. The optical encoder according to Claim 6,
20 wherein said optical scale is constructed so as to be
rotatable relative to the other members and wherein a
ratio of the numbers of the scale slits of said first
and second regions is approximately equal to a ratio of
distances from a rotation center of relative rotation
25 of said optical scale to radial centers of said
respective regions.

10. An optical encoder comprising:

light irradiating system;

an optical scale comprising scale slits of a periodic structure;

5 a light-receiving element; and

an optical system constructed so as to make light traveling from said light irradiating system to the scale slits of a first region of said optical scale, incident to the scale slits of a second region of said optical scale by a mirror or another optical element to guide the light having passed via the scale slits of the second region to said light-receiving element;

15 wherein in said optical scale a surface provided with the scale slits of said first region is different from a surface provided with the scale slits of said second region.

11. The optical encoder according to Claim 10,
20 wherein said optical scale is constructed so as to be rotatable relative to the other members, wherein said first region is disposed in an inside diameter side while said second region in an outside diameter side, and wherein the surface provided with the scale slits of said first region is disposed so as to be closer to said optical element.

12. The optical encoder according to Claim 10,
said optical encoder being used for detection of an
angle or a speed of relative rotation of said optical
scale.

5

13. An optical encoder comprising:
light irradiating system;
an optical scale comprising scale slits of a
periodic structure;

10 a light-receiving element; and
an optical system constructed so as to make
light traveling from said light irradiating system to
the scale slits of a first region of said optical
scale, incident to the scale slits of a second region
15 of said optical scale by a mirror or another optical
element to guide the light having passed via the scale
slits of the second region to said light-receiving
element;

20 wherein in said optical scale the scale slits
of said first and second regions are comprised of
grooves of V-shaped cross section and wherein slope
angles are different from each other between the
grooves of the V-shaped cross section of the scale
slits in said first and second regions.

25

14. The optical encoder according to Claim 13,
wherein the slope angles of the V-shaped grooves of the

scale slits in said first region are smaller than those in said second region.

15. The optical encoder according to Claim 13,
5 said optical encoder being used for detection of an angle or a speed of relative rotation of said optical scale.

16. An optical encoder comprising:
10 light irradiating system;
an optical scale comprising scale slits of a periodic structure;
a light-receiving element; and
an optical system constructed so as to make
15 light traveling from said light irradiating system to the scale slits of a first region of said optical scale, incident to the scale slits of a second region of said optical scale by a mirror or another optical element to guide the light having passed via the scale
20 slits of the second region to said light-receiving element;
wherein in said optical scale the scale slits of said first and second regions are comprised of grooves of V-shaped cross section and wherein the V-
25 shape of the grooves of the scale slits in said second region comprises slopes of N types of angles in a number of P/N in one period where P is a pitch of the

periodic structure of the scale slits and N a natural number.

17. The optical encoder according to Claim 16,
5 said optical encoder being used for detection of an angle or a speed of relative rotation of said optical scale.

18. An optical encoder comprising:
10 light irradiating system;
an optical scale comprising scale slits of a periodic structure;
a light-receiving element; and
an optical system constructed so that light
15 traveling from said light irradiating system to the scale slits of a first region of said optical scale and reflected by the first region is reflected and condensed via only one condensing mirror onto the scale slits of a second region of said optical scale and so
20 that the light having passed via the scale slits of the second region is guided to said light-receiving element.

19. The optical encoder according to Claim 18,
25 wherein said second region performs wavefront division by a difference between reflecting directions.

20. The optical encoder according to Claim 19,
wherein said first region has a periodic structure of
reflecting portions and transmitting portions.

5 21. The optical encoder according to Claim 19,
wherein said first region has a periodic structure of
flat portions and groove portions of V-shaped cross
section.

10 22. The optical encoder according to Claim 21,
wherein said second region emits beams in four
different directions from different portions,
respectively.

15 23. The optical encoder according to Claim 18,
wherein said first region has a periodic structure for
giving reflected light a periodic path difference of a
half wavelength.

20 24. The optical encoder according to Claim 18,
wherein said first region has a periodic structure of
flat portions and groove portions of V-shaped cross
section and periodic structure in which there appears a
difference of a half wavelength in every other width of
25 the flat portions.

25. The optical encoder according to Claim 18,

wherein said first region has a periodic structure of groove portions of V-shaped cross section and periodic structure in which there appears a difference of a quarter wavelength in every other depth of grooves in
5 the groove portions of the V-shaped cross section.

26. The optical encoder according to Claim 18,
said optical encoder being used for detection of
information on relative rotation of said optical scale.
10

27. The optical encoder according to Claim 18,
said optical encoder being used for detection of
information on relative translational displacement of
said optical scale.
15

28. The optical encoder according to Claim 18,
wherein reflected light from said second region is
received by said light-receiving element.
20

29. The optical encoder according to Claim 18,
wherein said condensing mirror is disposed on an
optical element in said light irradiating system.
25

30. A driving system comprising:
a driver system;
a control system for controlling driving of
said driver system; and

an optical encoder for detecting information on the driving of said driver system to output a signal to said control system, said optical encoder comprising:

- (1) light irradiating system;
- 5 (2) an optical scale having a grating for transmitting or reflecting incident light;
- (3) separate light-receiving elements disposed in a plurality of different directions; and
- (4) an optical system constructed so as to 10 amplitude-modulate light traveling from said light irradiating system to said optical scale and transmitted or reflected by the grating, by a dividing element in which a plurality of V-shaped grooves are juxtaposed, and so as to divide the amplitude-modulated 15 light into beams along a plurality of different directions to guide the beams to the respective separate light-receiving elements;
- wherein said dividing element is comprised of 20 repetitions of such structure that a plurality of V-grooves consisting of planes of mutually different angles are juxtaposed at a predetermined pitch.

31. A driving system comprising:

- a driver system;
- 25 a control system for controlling driving of said driver system; and
- an optical encoder for detecting information on

the driving of said driver system to output a signal to said control system, said optical encoder comprising:

- (1) light irradiating system;
- (2) an optical scale comprising scale slits of a periodic structure;
- (3) a light-receiving element; and
- (4) an optical system constructed so as to make light traveling from said light irradiating system to the scale slits of a first region of said optical scale, incident to the scale slits of a second region of said optical scale by a mirror or another optical element to guide the light having passed via the scale slits of the second region to said light-receiving element;

wherein in said optical scale the number of the scale slits of said first region is different from the number of the scale slits of said second region.

32. A driving system comprising:

- 20 a driver system;
- a control system for controlling driving of said driver system; and
- an optical encoder for detecting information on the driving of said driver system to output a signal to said control system, said optical encoder comprising:

 - (1) light irradiating system;
 - (2) an optical scale comprising scale slits of

a periodic structure;

(3) a light-receiving element; and
(4) an optical system constructed so as to make
light traveling from said light irradiating system to
the scale slits of a first region of said optical
scale, incident to the scale slits of a second region
of said optical scale by a mirror or another optical
element to guide the light having passed via the scale
slits of the second region to said light-receiving

10 element;

wherein in said optical scale a surface
provided with the scale slits of said first region is
different from a surface provided with the scale slits
of said second region.

15

33. A driving system comprising:

a driver system;

a control system for controlling driving of
said driver system; and

20 an optical encoder for detecting information on
the driving of said driver system to output a signal to
said control system, said optical encoder comprising:

(1) light irradiating system;

(2) an optical scale comprising scale slits of

25 a periodic structure;

(3) a light-receiving element; and

(4) an optical system constructed so as to make

light traveling from said light irradiating system to the scale slits of a first region of said optical scale, incident to the scale slits of a second region of said optical scale by a mirror or another optical 5 element to guide the light having passed via the scale slits of the second region to said light-receiving element;

wherein in said optical scale the scale slits of said first and second regions are comprised of 10 grooves of V-shaped cross section and wherein slope angles are different from each other between the grooves of the V-shaped cross section of the scale slits in said first and second regions.

15 34. A driving system comprising:
 a driver system;
 a control system for controlling driving of
 said driver system; and
 an optical encoder for detecting information on
20 the driving of said driver system to output a signal to
 said control system, said optical encoder comprising:
 (1) light irradiating system;
 (2) an optical scale comprising scale slits of
 a periodic structure;
25 (3) a light-receiving element; and
 (4) an optical system constructed so as to make
 light traveling from said light irradiating system to

the scale slits of a first region of said optical scale, incident to the scale slits of a second region of said optical scale by a mirror or another optical element to guide the light having passed via the scale 5 slits of the second region to said light-receiving element;

wherein in said optical scale the scale slits of said first and second regions are comprised of grooves of V-shaped cross section and wherein the V- 10 shape of the grooves of the scale slits in said second region comprises slopes of N types of angles in a number of P/N in one period where P is a pitch of the periodic structure of the scale slits and N a natural 15 number.

15

35. A driving system comprising:

 a driver system;

 a control system for controlling driving of said driver system; and

20

 an optical encoder for detecting information on the driving of said driver system to output a signal to said control system, said optical encoder comprising:

 (1) light irradiating system;

 (2) an optical scale comprising scale slits of 25 a periodic structure;

 (3) a light-receiving element; and

 (4) an optical system constructed so that light

traveling from said light irradiating system to the scale slits of a first region of said optical scale and reflected by the first region is reflected and condensed via only one condensing mirror onto the scale
5 slits of a second region of said optical scale and so that the light having passed via the scale slits of the second region is guided to said light-receiving element.

ABSTRACT OF THE DISCLOSURE

An optical encoder has a light irradiating device; an optical scale having a grating for transmitting or reflecting incident light; light-receiving elements disposed in a plurality of different directions; and an optical system for amplitude-modulating light traveling from the light irradiating device to the optical scale and transmitted or reflected by the grating, by a dividing element in which a plurality of V-shaped grooves are juxtaposed, and for dividing the amplitude-modulated light into beams along a plurality of different directions to guide the beams to the respective light-receiving elements. The dividing element is comprised of repetitions of such structure that a plurality of V-grooves consisting of planes of mutually different angles are juxtaposed at a predetermined pitch.

FIG. 1

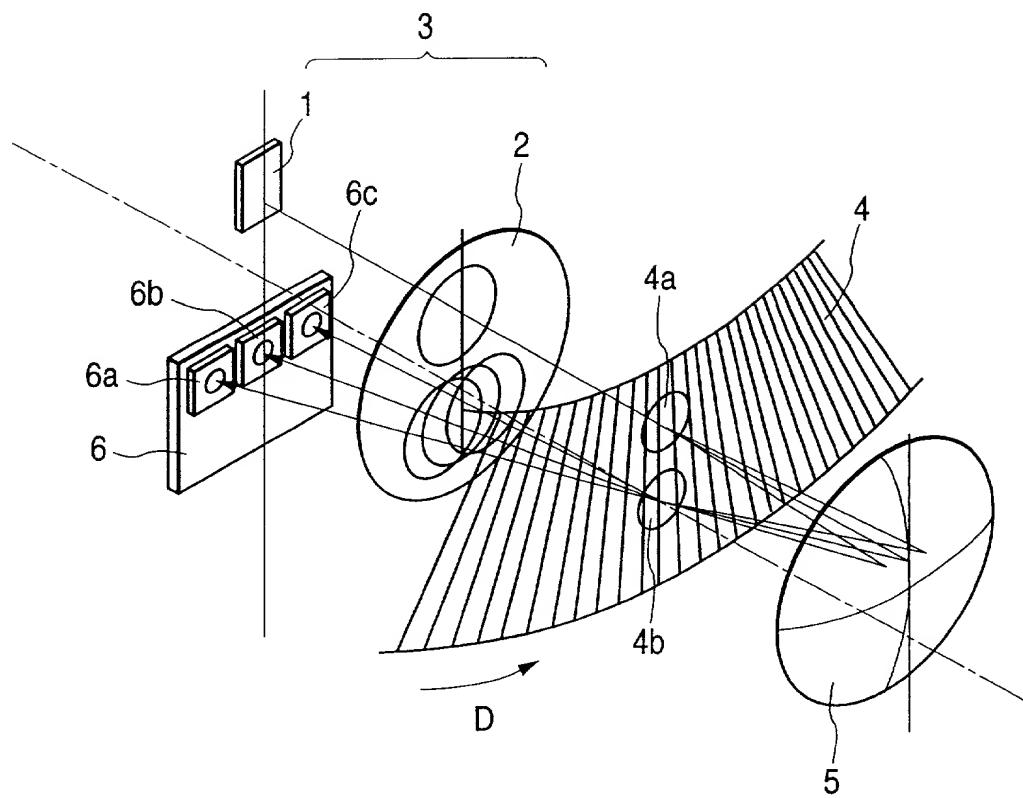


FIG. 3

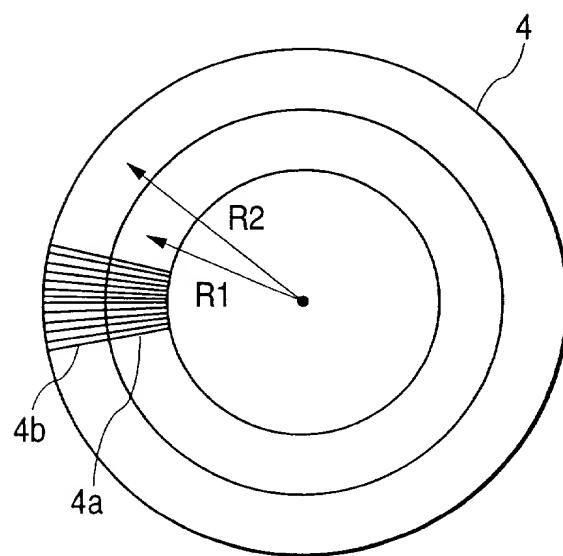


FIG. 2

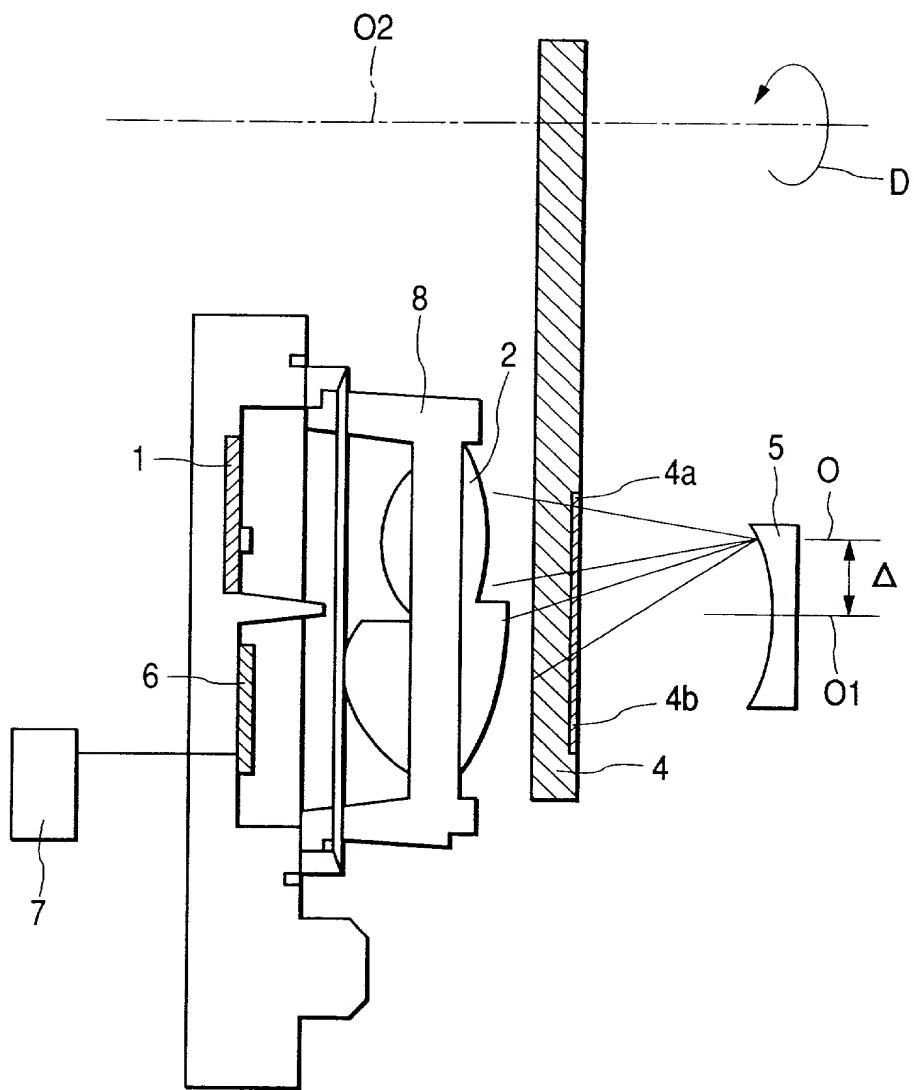


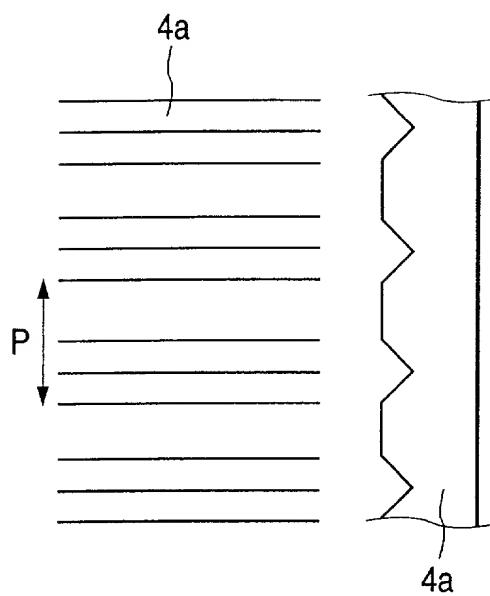
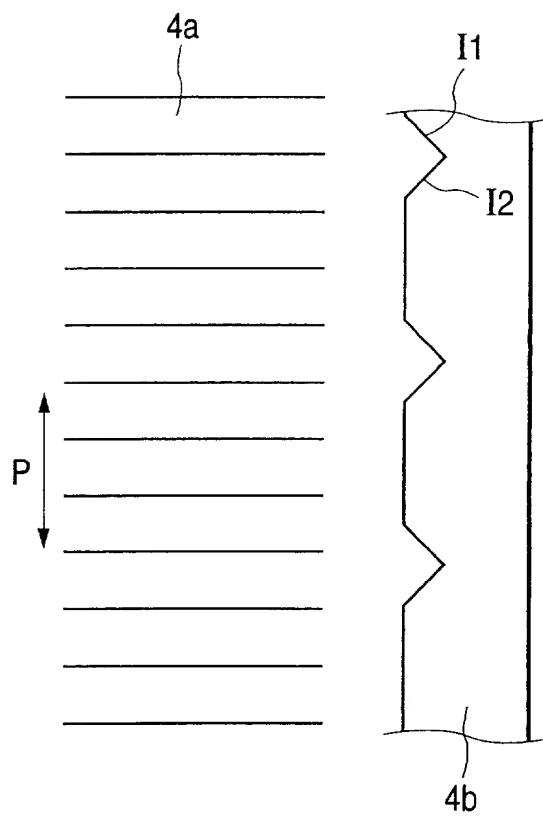
FIG. 4A*FIG. 4B*

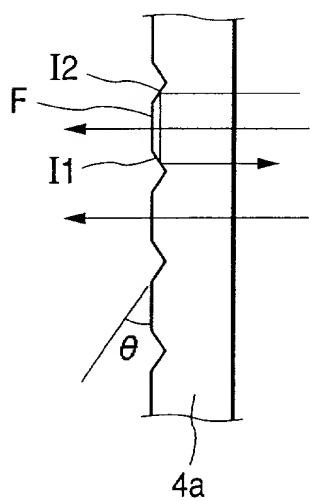
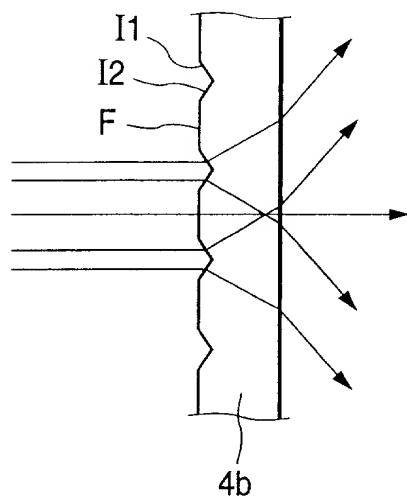
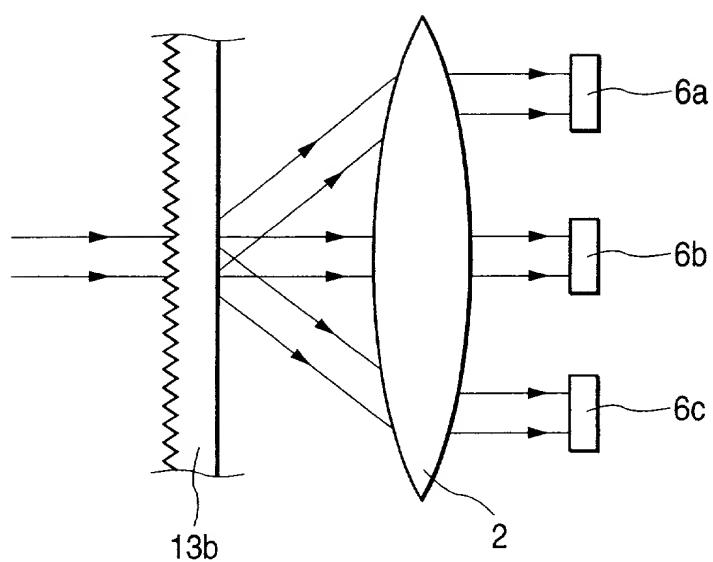
FIG. 5A*FIG. 5B**FIG. 5C*

FIG. 6

OUTPUT SIGNAL V

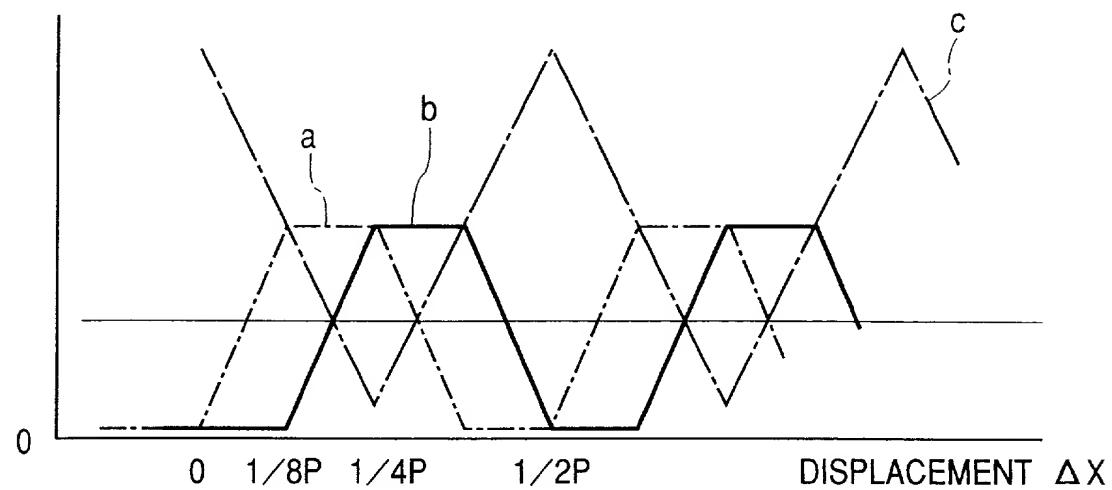


FIG. 8

OUTPUT

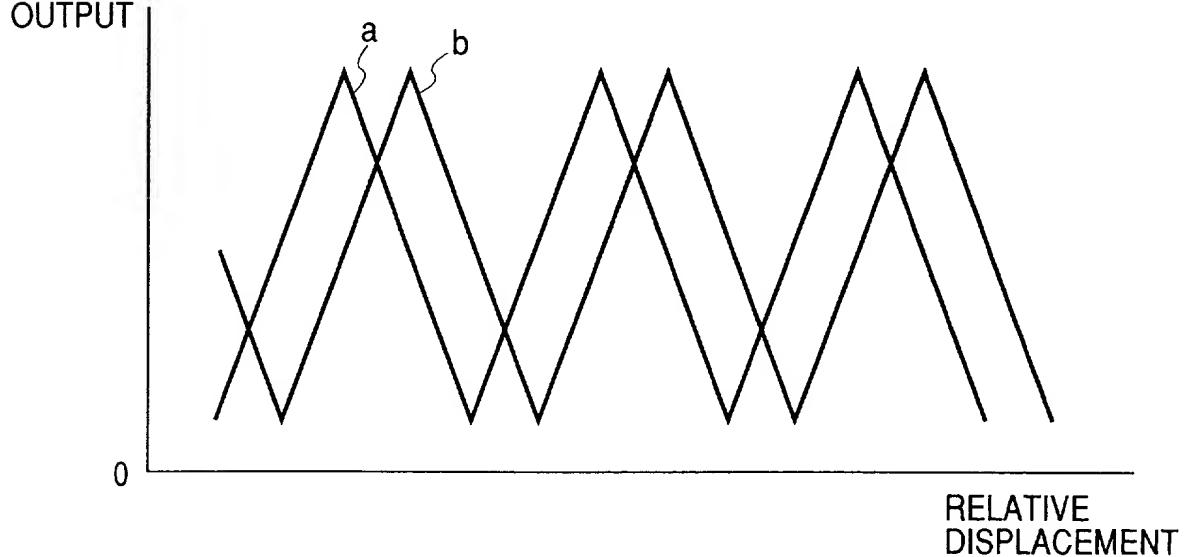


FIG. 7

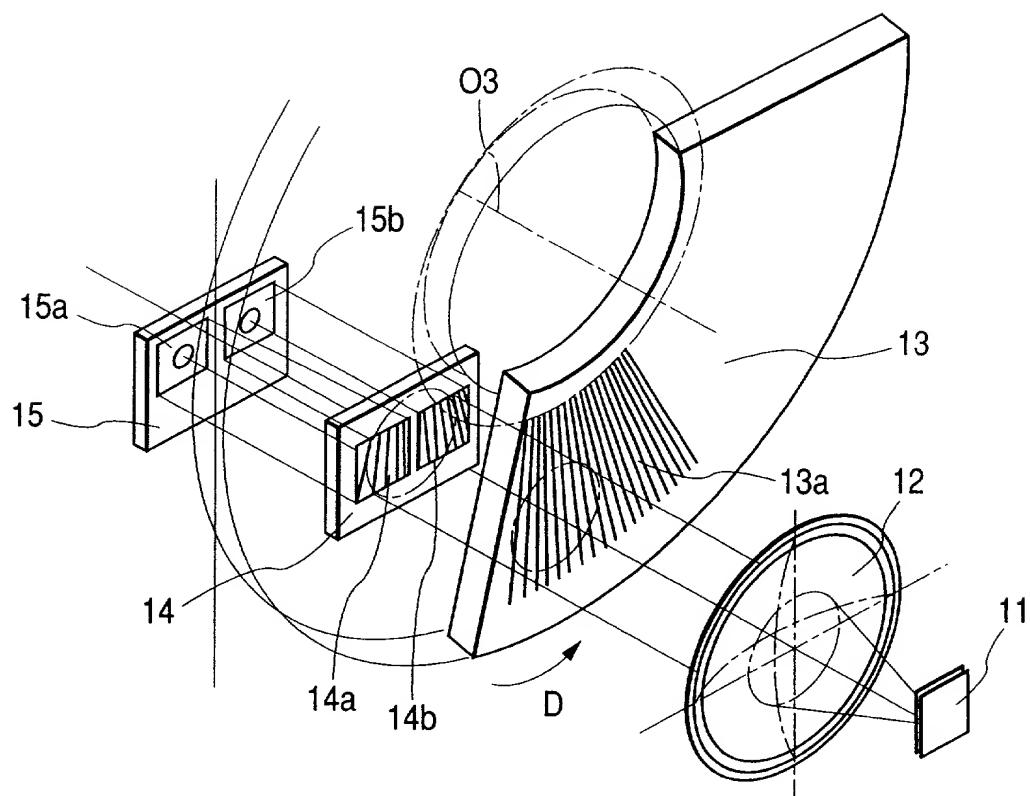


FIG. 9

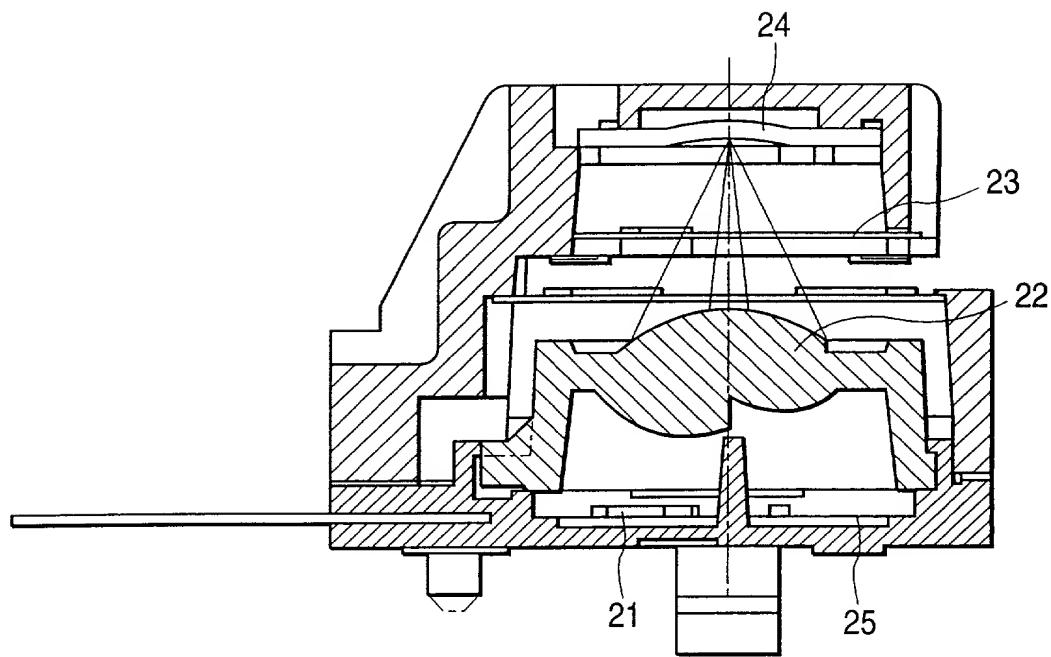


FIG. 10

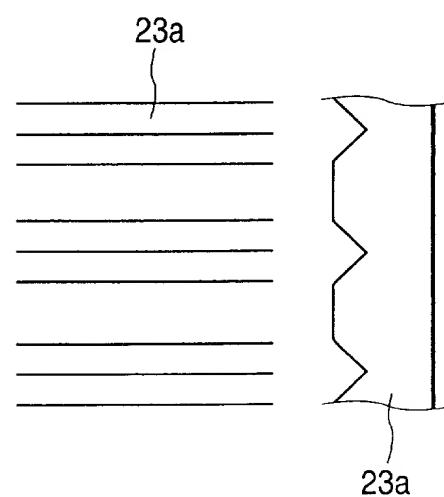


FIG. 11

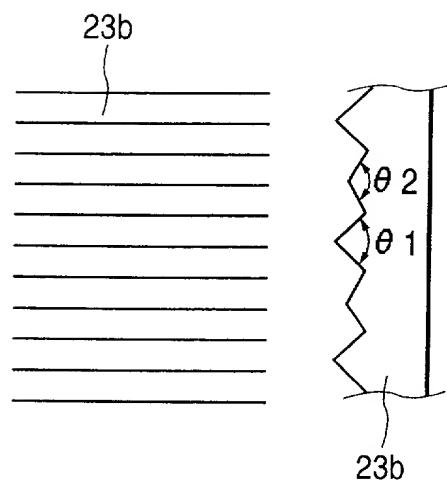


FIG. 12A

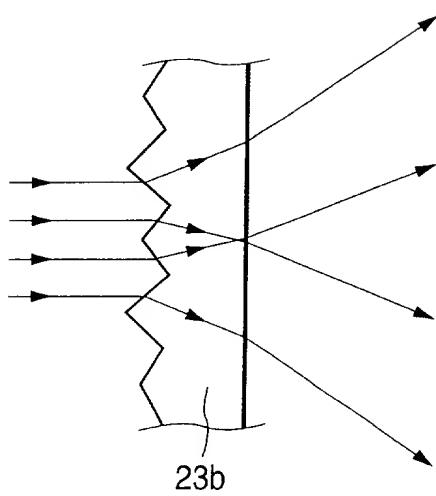


FIG. 12B

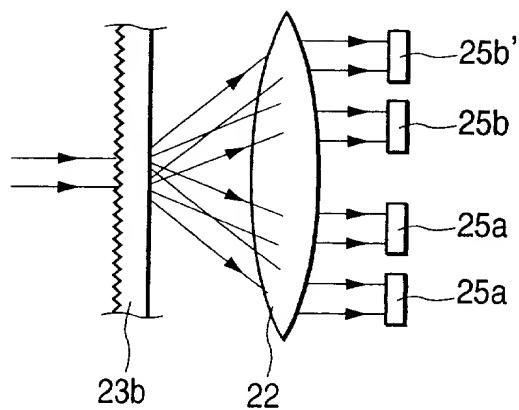


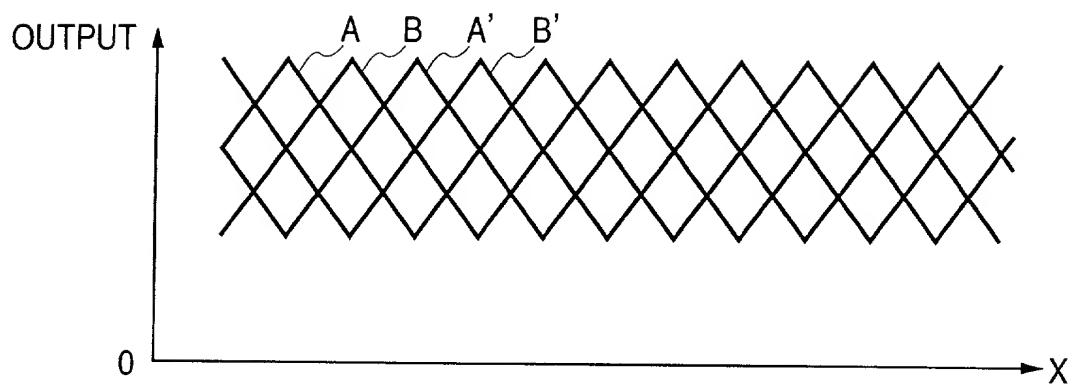
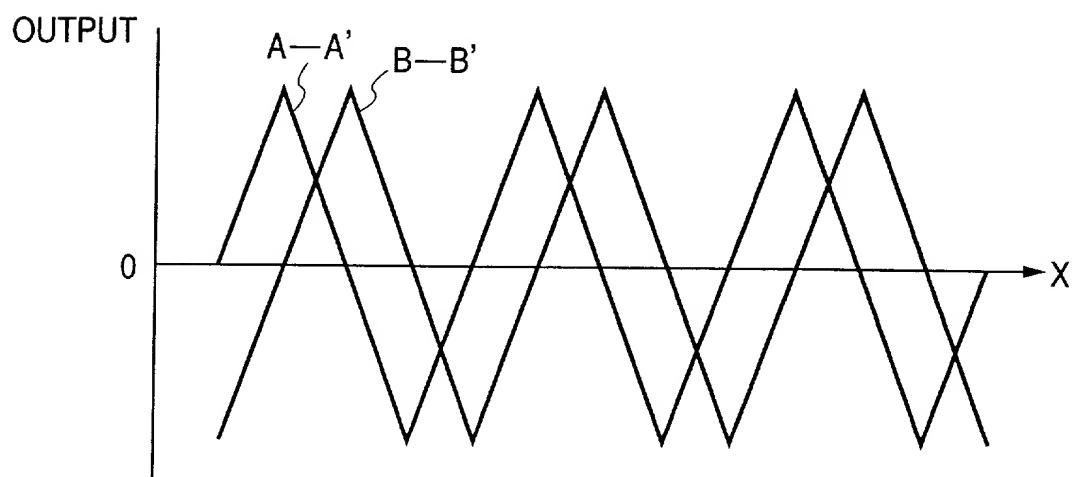
FIG. 13A**FIG. 13B**

FIG. 14

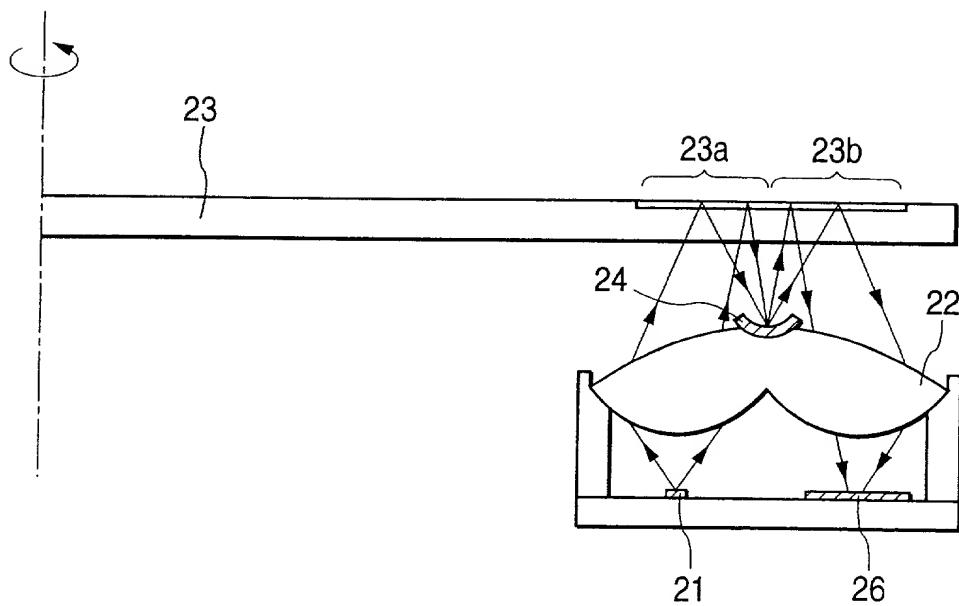


FIG. 15

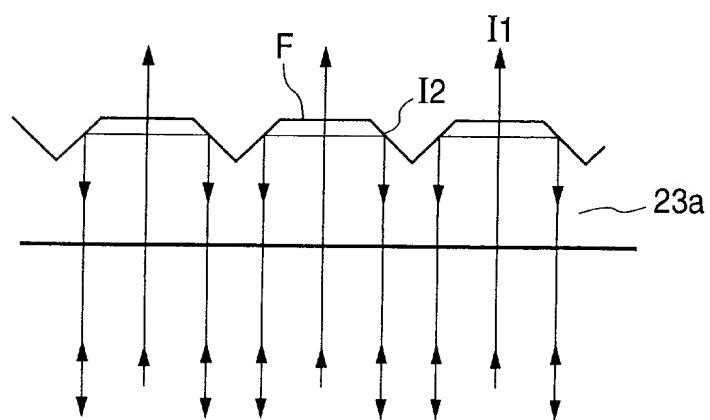


FIG. 16

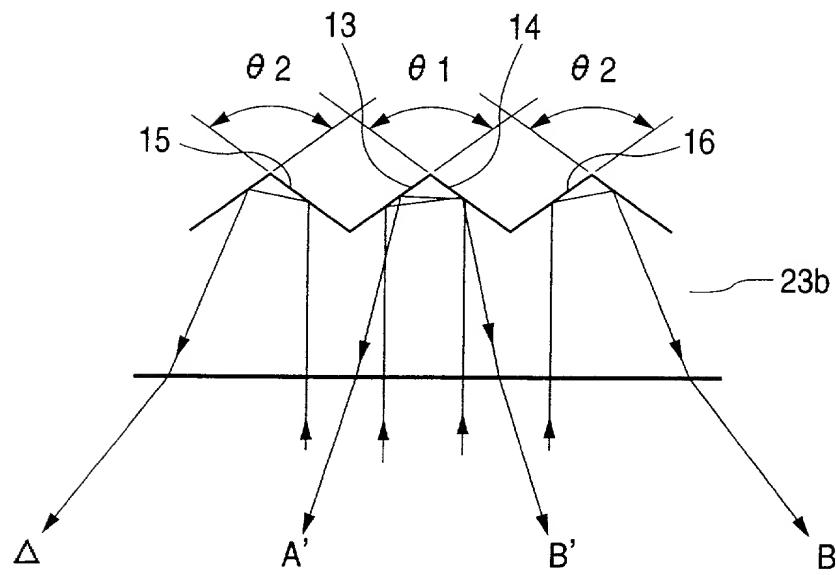


FIG. 17

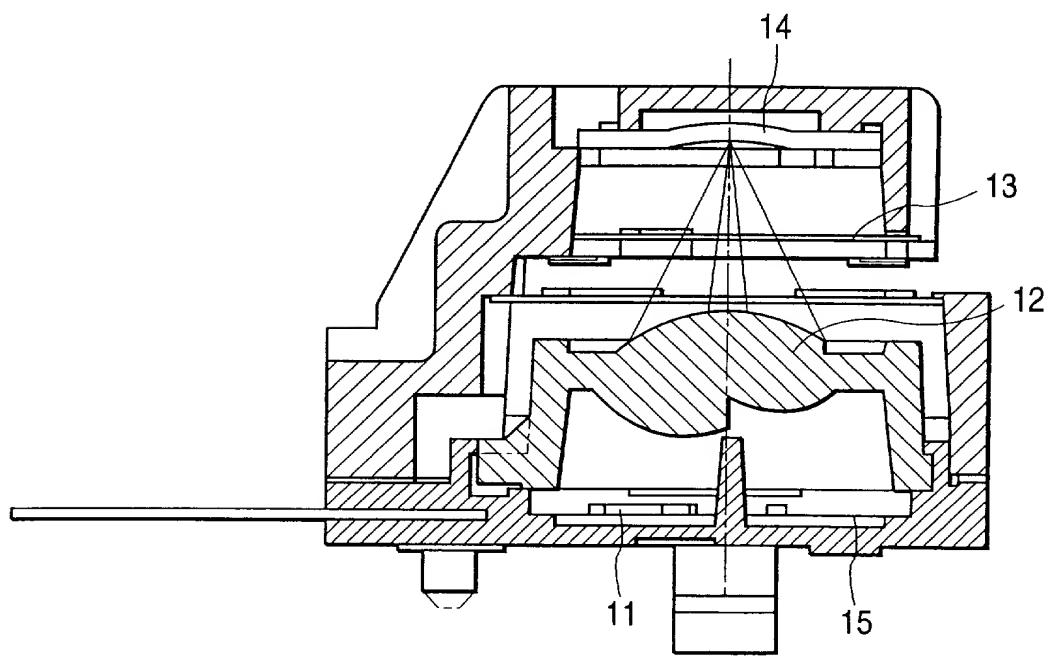


FIG. 18

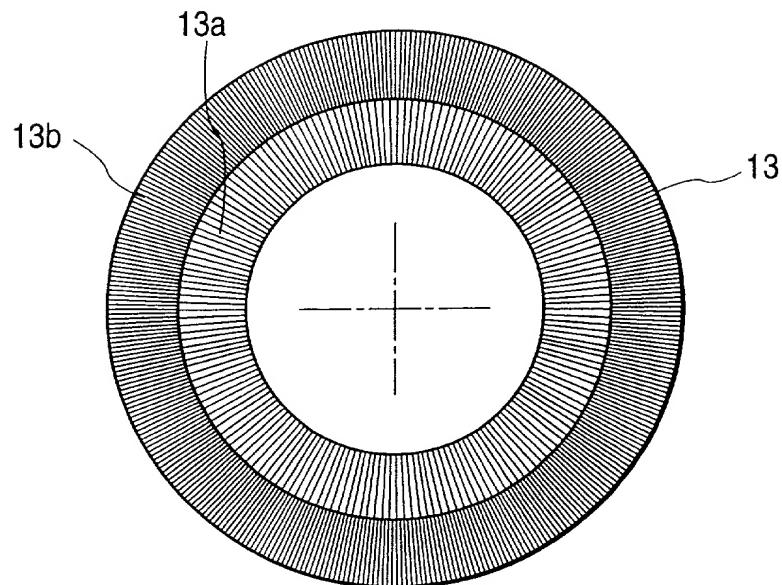


FIG. 19A

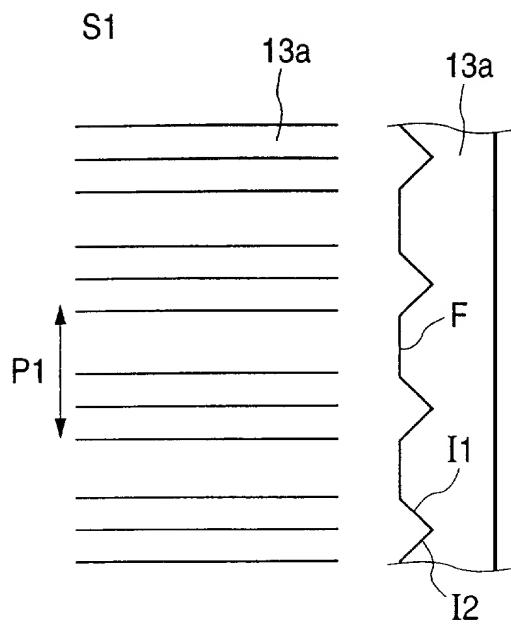


FIG. 19B

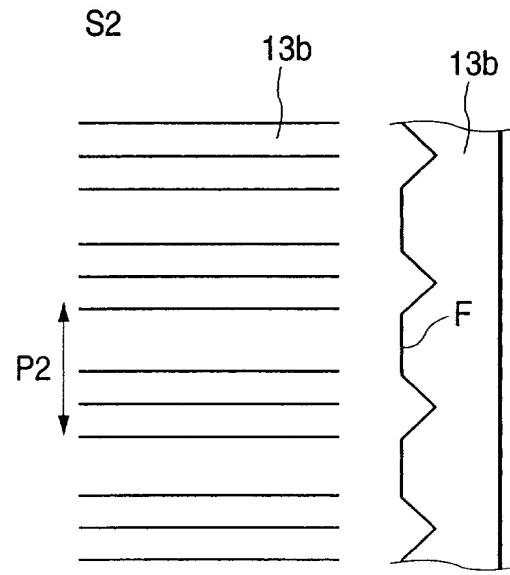


FIG. 20A

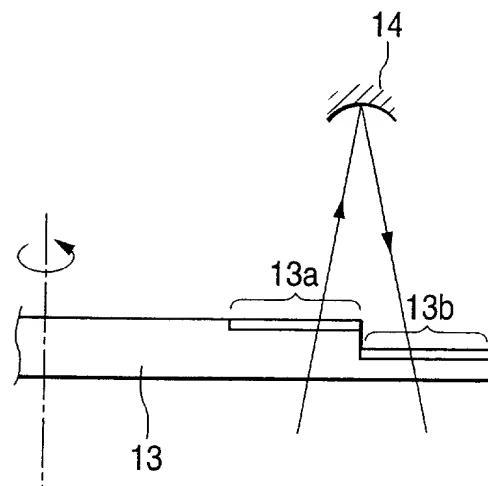


FIG. 20B

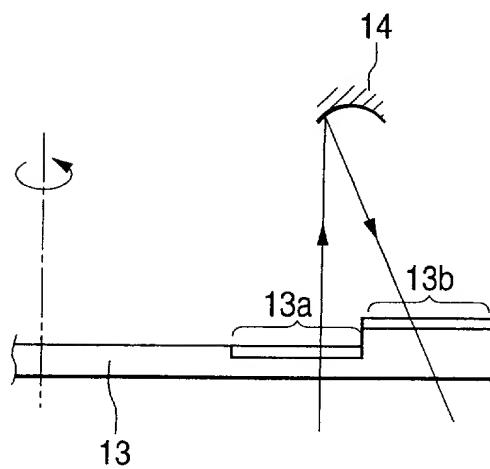


FIG. 21A

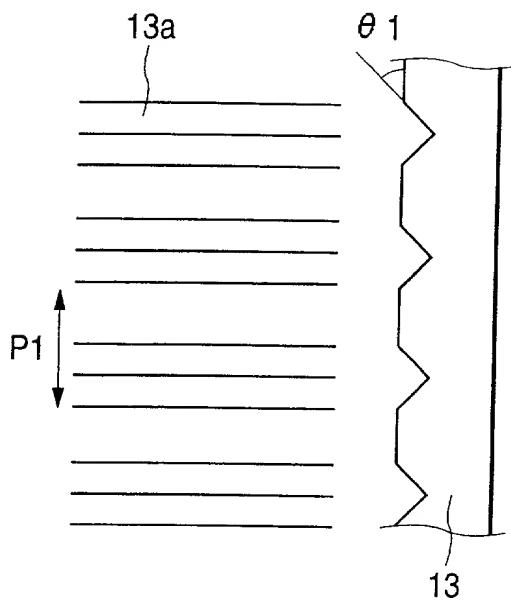


FIG. 21B

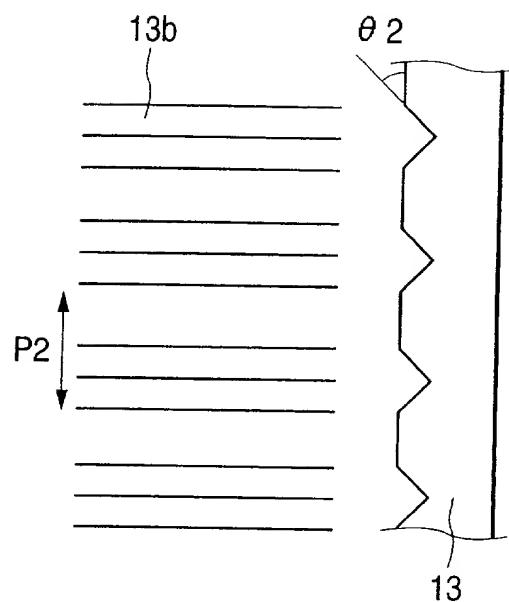


FIG. 22A

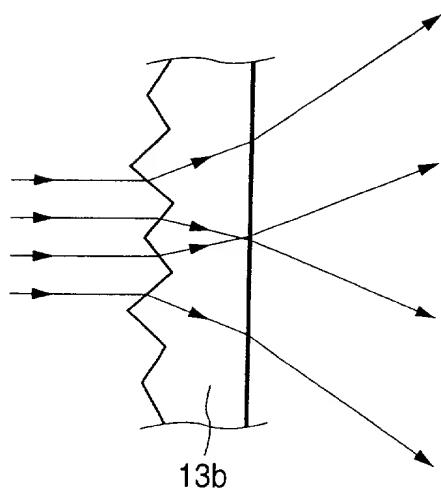


FIG. 22B

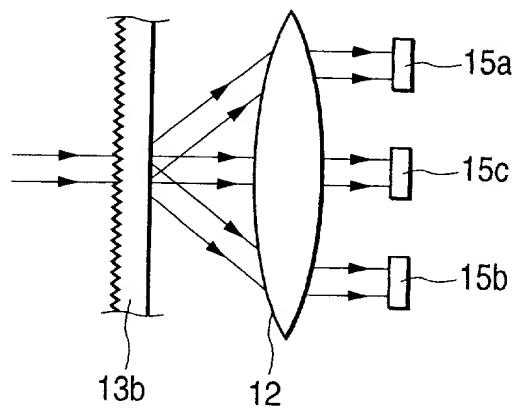


FIG. 23A

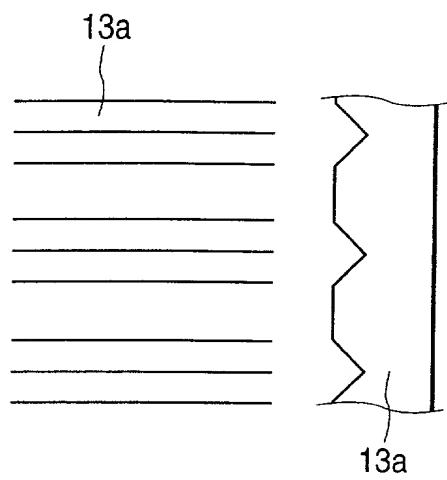


FIG. 23B

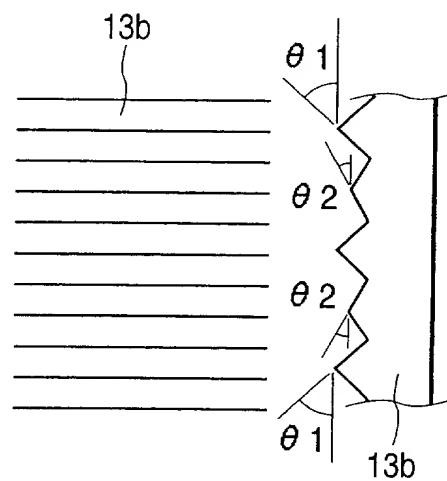


FIG. 24A

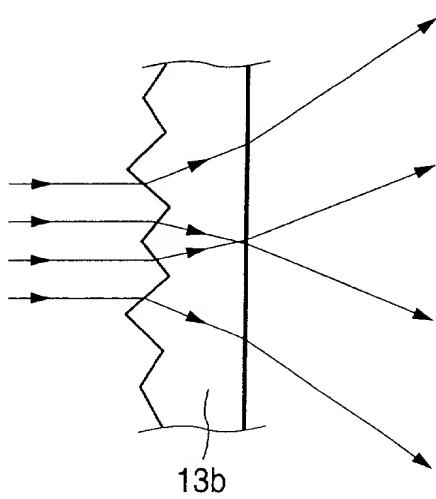


FIG. 24B

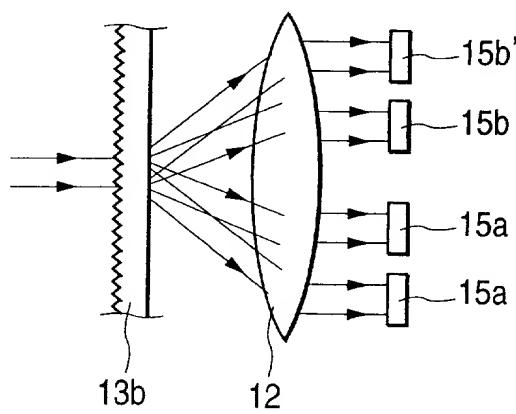


FIG. 25A

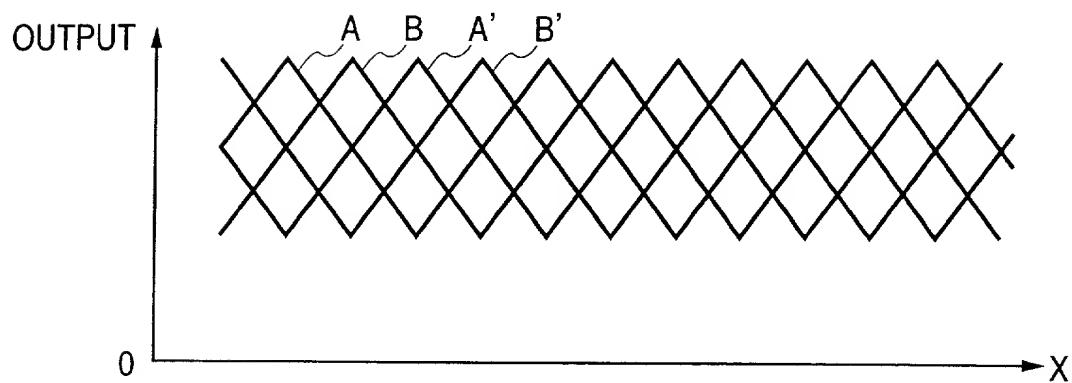


FIG. 25B

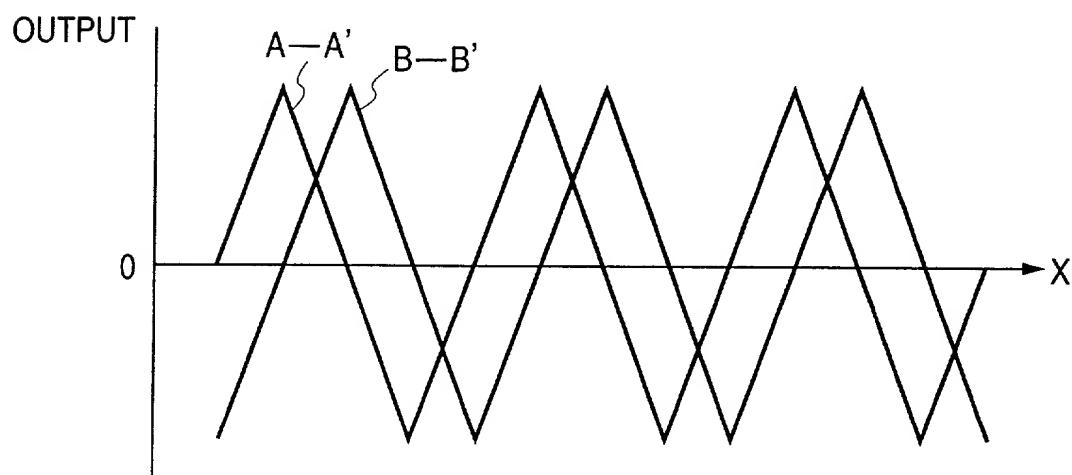


FIG. 26

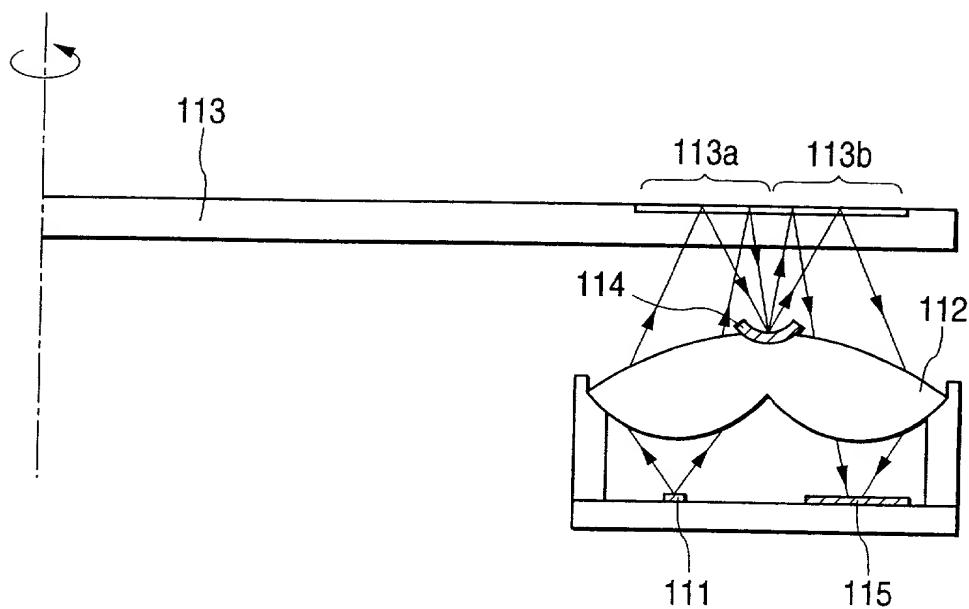


FIG. 27

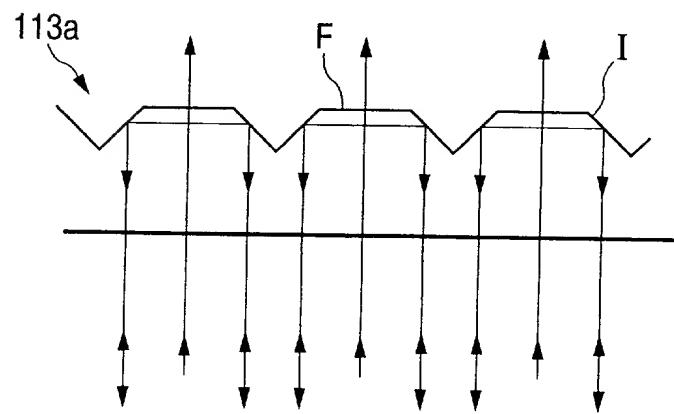


FIG. 28

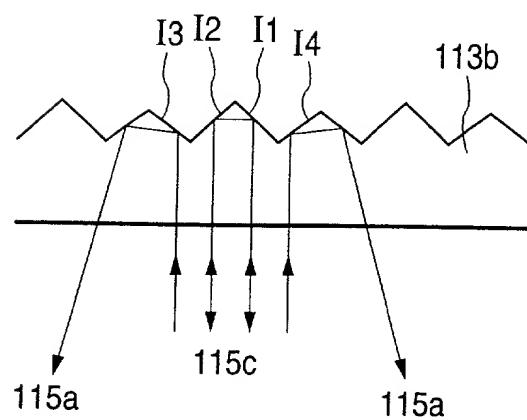


FIG. 29

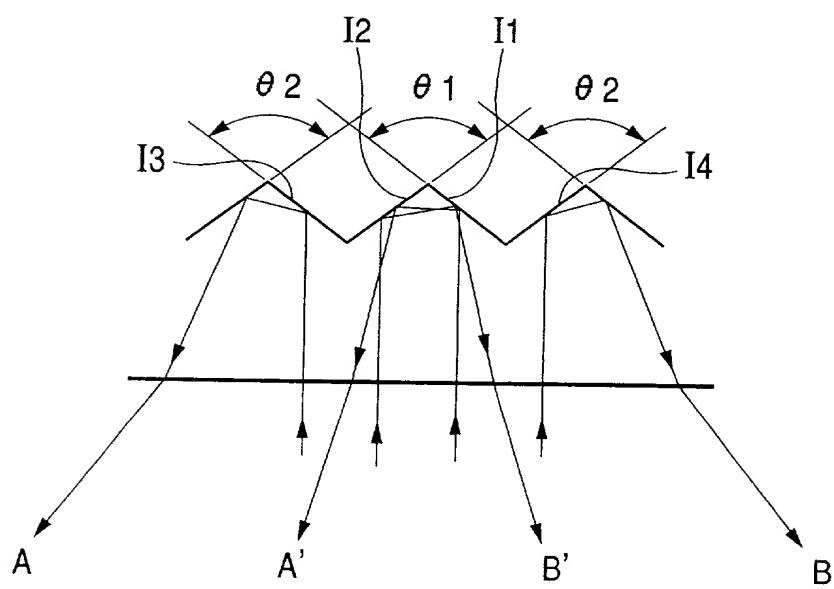


FIG. 30A

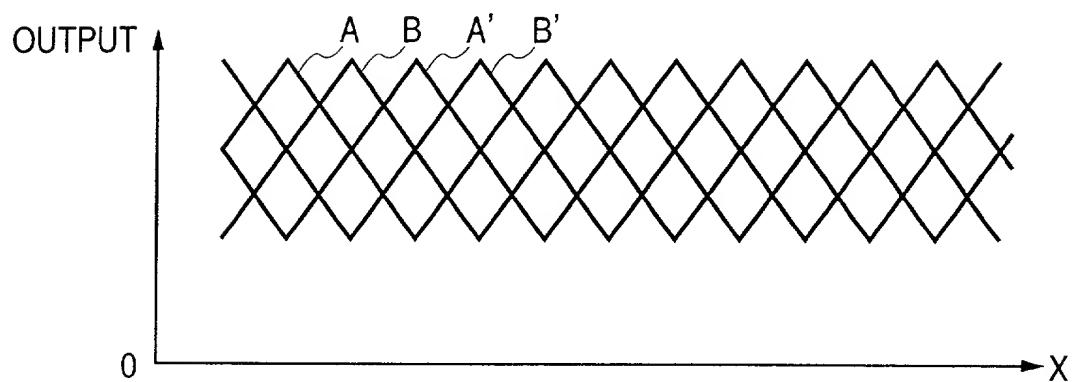


FIG. 30B

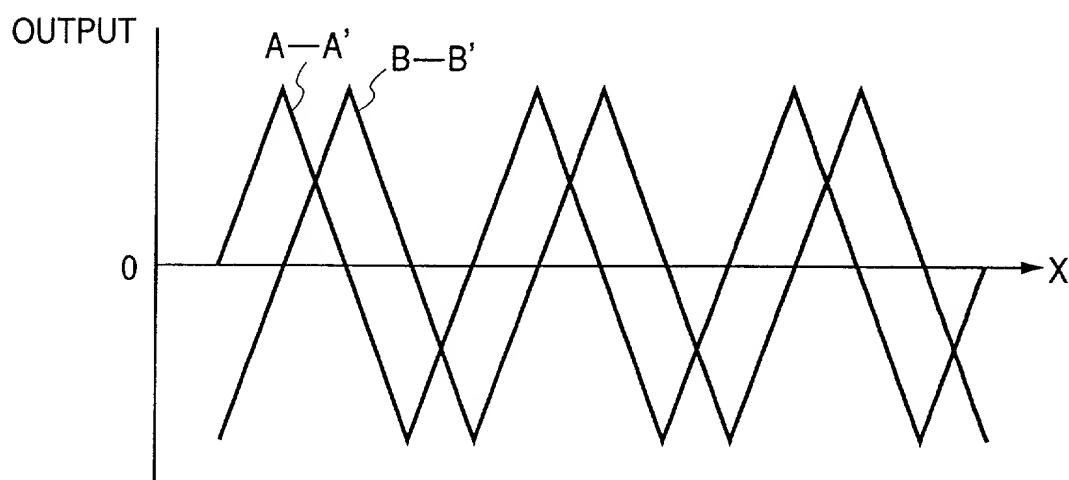


FIG. 31

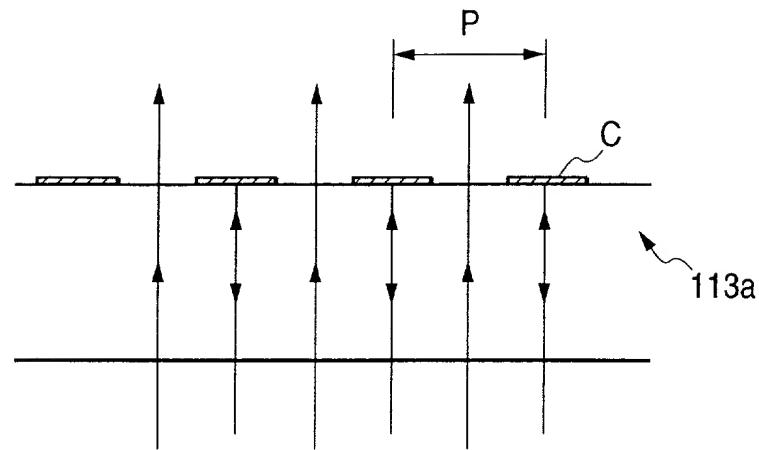


FIG. 32

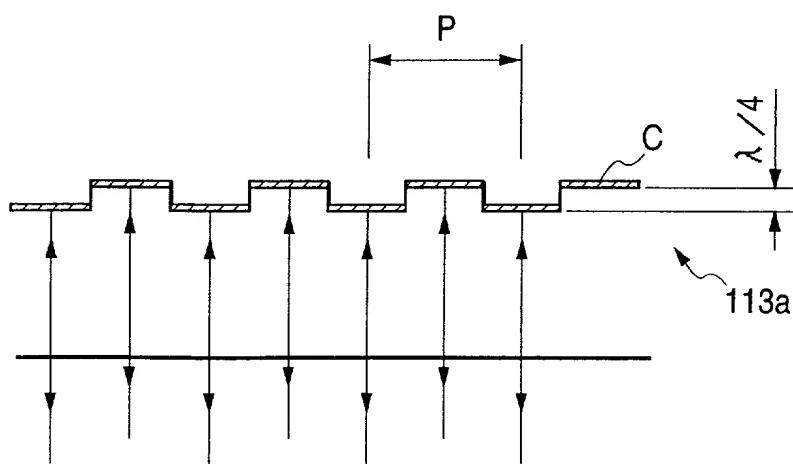


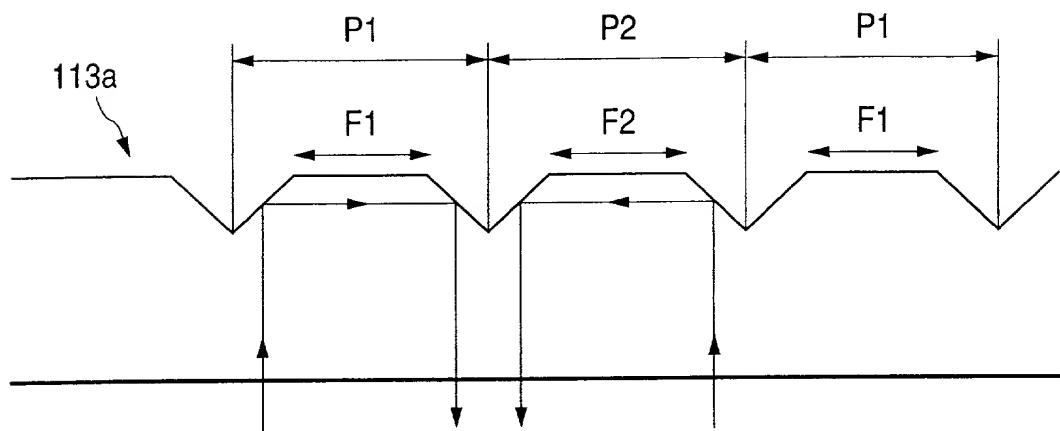
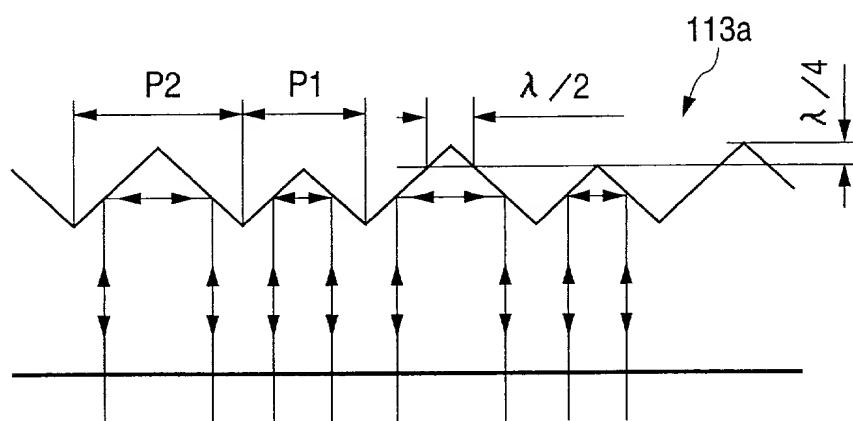
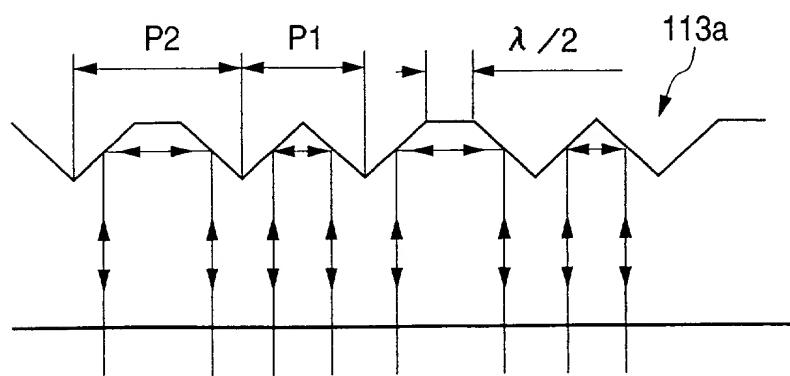
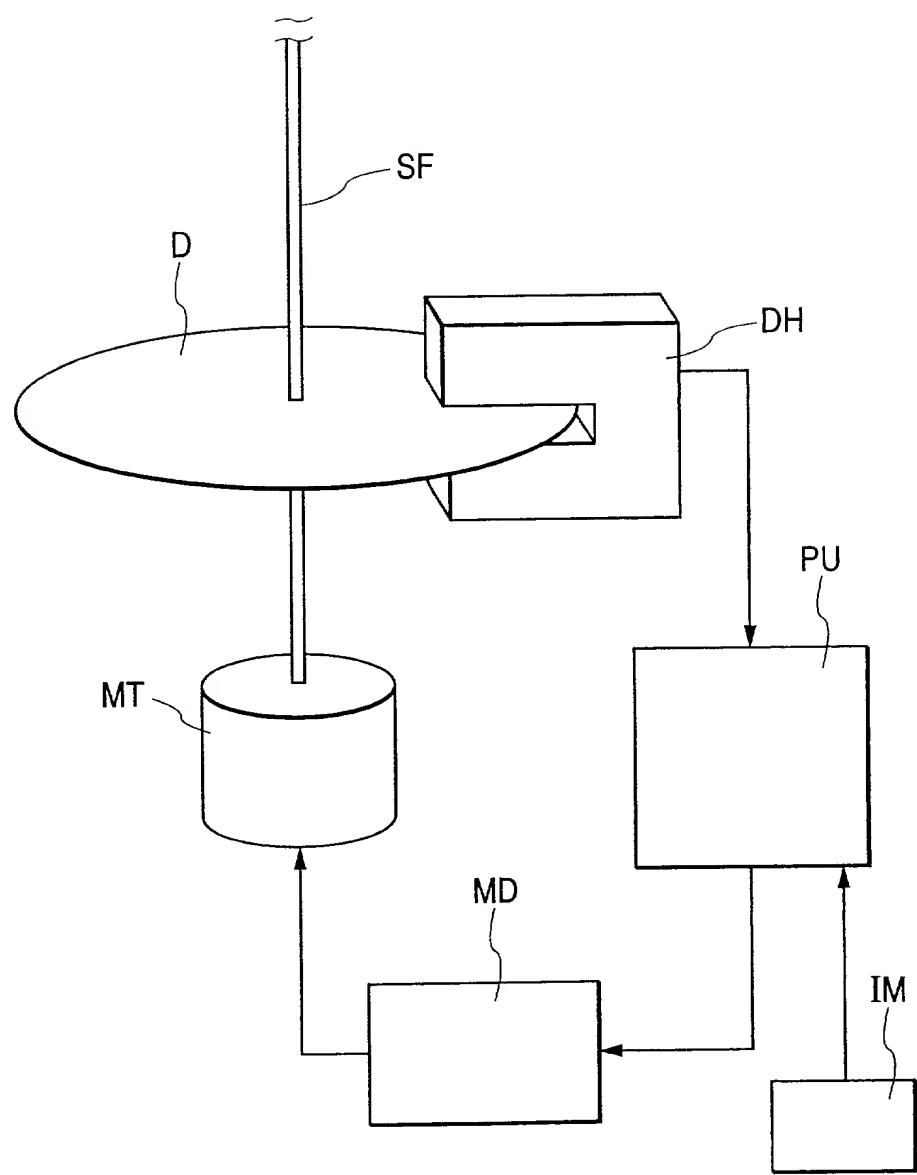
FIG. 33**FIG. 34****FIG. 35**

FIG. 36



**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**
(Page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled OPTICAL ENCODER, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>(Yes/No)</u> <u>Priority Claimed</u>
JAPAN	11-164469	JUNE 10, 1999	YES
JAPAN	11-164470	JUNE 10, 1999	YES
JAPAN	11-171565	JUNE 17, 1999	YES

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application No.</u>	<u>Filed (Day/Mo./Yr.)</u>	<u>Status</u> <u>(Patented, Pending, Abandoned)</u>

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO
Customer Number: 05514**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor ICHIRO OKUMURA

Inventor's signature _____

Date _____

Citizen/Subject of JAPAN

Residence 369-409, MIDORIGAOKA 2-CHOME, MATSUDO-SHI, CHIBA-KEN, JAPAN

Full Name of Second Joint Inventor, if any YASUSHI MIURA

Second Inventor's signature _____

Date _____

Citizen/Subject of JAPAN

Residence 262-32-6-212, KAMIGO-CHO, SAKAE-KU, YOKOHAMA-SHI, KANAGAWA-KEN, JAPAN

COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
(Page 2)

Full Name of Third Joint Inventor, if any MASAHIKO IGAKI

Third Inventor's signature _____

Date _____ Citizen/Subject of JAPAN

Residence 78-2-601, FUTAMATAGAWA 2-CHOME, ASAHI-KU, YOKOHAMA-SHI, KANAGAWA-KEN, JAPAN

Full Name of Fourth Joint Inventor, if any MASAHIKO IGAKI

Fourth Inventor's signature _____

Date _____ Citizen/Subject of JAPAN

Residence 683-7, TAMANAWA 3-CHOME, KAMAKURA-SHI, KANAGAWA-KEN, JAPAN

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